

Original Article

Spanish Registry of Patients With Alpha-1 Antitrypsin Deficiency: Database Evaluation and Population Analysis[☆]



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ABSTRACT

Introduction and Objective: REDAAT, the Spanish Registry of Patients with Alpha-1 Antitrypsin Deficiency, was set up in order to improve knowledge of this disease. This study is an evaluation of the registry and an analysis of its patient population.

Methods: The registry has a database hosted on the website www.redaat.es. It collects clinical and functional data on patients with PiSZ, ZZ phenotypes and other rare variants.

Results: Thanks to the collaboration of 124 physicians, the registry currently contains information on 511 individuals from 103 healthcare centers. Of these 511, 348 (74.2%) are Pi*ZZ homozygotes, and 100 (19.5%) are Pi*SZ heterozygotes. More cases are seen in tertiary level hospitals. A total of 81% of the cases have respiratory disease, and a lower proportion of AATD cases were detected by family screening or liver disease. Follow-up data are available for 45% of the cases, and 35% received alpha-1 antitrypsin replacement therapy.

Conclusions: The REDAAT registry is a useful tool for obtaining quality information about this minority disease in routine clinical practice conditions, although it is difficult to obtain follow-up data, and the representativeness of the sample included cannot be determined.

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Registro español de pacientes con déficit de alfa-1 antitripsina: evaluación de la base de datos y análisis de la población incluida

R E S U M E N

Palabras clave:
Registro
Alfa-1 antitripsina

Introducción y Objetivo: El Registro español de pacientes con déficit de alfa-1 antitripsina (REDAAT) se formó con el objetivo de mejorar el conocimiento sobre del DAAT. En este trabajo se evalúa el registro y se analiza la población de pacientes incluida en él.

Métodos: Dispone de una base de datos alojada en la Web: www.redaat.es. Su base de datos recoge información clínica y funcional de individuos portadores de los fenotipos PiSZ, ZZ y variantes raras.

Resultados: En la actualidad reúne información sobre 511 individuos procedentes de 103 centros sanitarios, gracias a la colaboración de 124 médicos. De ellos, 348 (74,2%) son homocigotos Pi*ZZ y 100 (19,5%) heterocigotos Pi*SZ. Existe una mayor concentración de casos en hospitales universitarios de tercer nivel. El 81% de los casos tiene enfermedad pulmonar y en menor proporción el DAAT se detectó por cribado familiar o enfermedad hepática. Se dispone de datos de seguimiento en el 45% de los casos, y un 35% recibieron tratamiento sustitutivo con alfa-1 antitripsina.

Conclusiones: El REDAAT es una herramienta útil para obtener información de calidad sobre esta enfermedad minoritaria en condiciones de práctica clínica habitual, aunque obtener datos de seguimiento es difícil y no es posible conocer la representatividad de la muestra incluida.

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Introduction

REDAAT, the Spanish Registry of Patients with Alpha-1 Antitrypsin Deficiency, was set up in 1993 after the diagnosis of the first cases in Barcelona and Asturias. It functioned primarily as a working group within the area of respiratory failure and sleep disorders, and the scope was later extended to include the area of chronic obstructive pulmonary disease (COPD) of the Spanish Society of Pulmonology and Thoracic Surgery (SEPAR).^{1–4}

Since it was founded, the objectives of REDAAT have been to broaden the DAAT knowledge base by stimulating research and facilitating diagnosis.

The REDAAT participates in the Alpha-1 Antitrypsin International Registry (AIR) that was founded in 1996 following the recommendations of the World Health Organization (WHO).⁵ It also forms part of the Spanish Network of Rare Disease Registries by participating in the National Registry of Rare Diseases run by the Rare Diseases Research Institute (ISCIII Institute of Health).^{6,7}

This study evaluated the registry as a tool for the systematic collection of data under standard clinical practice conditions, and examined the profiles of participating doctors and the demographic, phenotypic, clinical and functional characteristics of patients included during the 20 years since it was initiated.

Method

Organization of the Registry

REDAAT consists of an advisory committee, formed by 10 pulmonologists, 3 pediatricians, and 3 basic investigators, reference laboratory personnel and information technology support staff. The main technical resource is the website: www.redaat.es, a domain of the Spanish Pulmón-Respira Foundation. The website has a public access area that contains general information and a restricted access area for health professionals that includes the patient data collection sheet, real time data on registered cases, and general characteristics of the population included. It also provides information on diagnosis and replacement therapy.⁷

Inclusion of cases in the REDAAT registry has gone through 3 phases: initially, from registry set-up until 2001, the data collection sheet for each case was submitted on paper to the coordination center, which at that time was located in the Hospital Universitari Vall d'Hebron de Barcelona. The second phase spanned the period between 2001 and 2005, during which the *online* registry

was launched and paper records were gradually phased out. The third phase of exclusively web-based data management began in 2006 and continues to this day.⁸

Database Structure

The REDAAT database is hosted on the website www.redaat.es, and can also be accessed via the following websites: www.separ.es, <https://spainrdr.isciii.es>, and the AIR website, www.antitrypsindeficiency.org. The data collection questionnaire was adapted from the AIR template in HTML and connects to an Oracle database.

Each individual register consists of a 3-digit number and the initials of the patient and the treating physician. Only the latter has access to the personal data identifying the registered individual. Patients must sign informed consent forms before their data are included.

In addition to the initial registration of the case, 6-monthly follow-up sheets are available in REDAAT for collecting data on the progress of patients until the case is closed, which occurs when the patient dies or undergoes lung transplantation.

Study Population

The study sample includes all individuals registered in REDAAT up to January 1, 2014. Criteria for inclusion in the registry are as follows: individuals with severe AAT deficiency, carriers of Pi*ZZ and Pi*SZ phenotypes or other rare deficiency variants. Individuals with intermediate deficiency and Pi*MZ, Pi*MS and Pi*SS phenotypes were excluded.

Statistical Analysis

First, we reviewed each variable and the percentage of completion as a measure of data collection quality. The number of patients included in REDAAT was then compared with data on the estimated prevalence of the deficiency in Spain, to determine the magnitude of underdiagnosis.

A descriptive study was made of the characteristics of individuals included in the database, and for qualitative variables, frequency and percentage of valid data were determined. For quantitative variables, central tendency measures (mean and median), position measures (quartiles) and dispersion measures (standard deviation) were used.

Comparison by “index case” and “non-index case” was made by classifying the cases as follows: the “index case” was considered the case in which the presence of lung or liver disease led to the AATD diagnosis, while a “non-index” case was one in which the diagnosis was reached by some type of screening. With regard to treatment, replacement therapy was deemed to have been administered to any patients who at any time after registration had reported starting replacement therapy, irrespective of dose or duration of the treatment.

Comparisons between both groups were performed using the Chi-squared test for qualitative variables, the Fisher's test for frequencies of <5 and the Student's *t*-test for quantitative variables. The Mann-Whitney *U* test was used to determine the heterogeneity of 2 ordinal samples in the case of non-parametric variables of independent samples. The ANOVA test was used for variables with more than 2 categories. Analyses were performed using statistical software (SPSS version 19, IBM Corp., Armonk, NY).

Results

Number of Patients Registered vs Number Expected According to Deficiency Prevalence

The population included in the REDAAT consisted of 511 individuals, of whom 469 (91.8%) were adults as the time of diagnosis and 42 (8.2%) were children.⁹ Of these, 348 had Pi*ZZ phenotype. This number represents approximately 3% of the expected cases according to epidemiological estimates for AATD in Spain.¹⁰

Participating Centers And Doctors

Patients were registered by 124 doctors in 103 healthcare facilities. Distribution of the centers were: 97 hospitals from the National Health System (94.2%), 3 primary care centers (2.9%), 2 private specialist clinics (1.9%) and 1 private hospital (1%). The 10 centers which registered the greatest number of cases were public hospitals authorized to teach medical students up to post-graduate level.

The mean number of cases registered by each doctor was 4 (SD: 8). However, 26.2% of the total patient population were registered by 3 doctors, who included 48, 44, and 42 cases each, from third-level university hospitals with lung transplantation programs. Distribution of cases registered by autonomous community is shown in Table 1.

Registration Rate And Quality of Data in the Spanish Registry of Patients With Alpha-1 Antitrypsin Deficiency

The mean number of cases registered per year was 39.3 (range: 8–102). The registration rate is represented in a graph in Fig. 1.

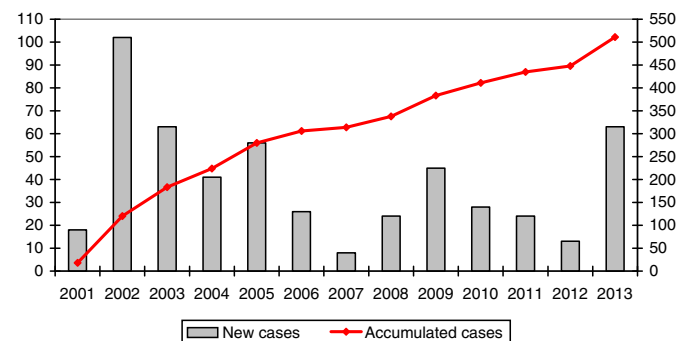


Fig. 1. Registration rates of REDAAT cases.

Table 1
Geographical Distribution of Registered Cases.

AC	Cases Registered ^a	Population AC	Registration Rate ^b
Andalusia	40 (7.8)	8 449 985	0.5
Aragon	3 (0.6)	1 349 467	0.2
Asturias	38 (7.4)	1 077 360	3.5
Balearic Islands	3 (0.6)	1 119 439	0.3
Canary Islands	28 (5.5)	2 118 344	1.3
Cantabria	40 (7.8)	593 861	6.7
Castile-La Mancha	6 (1.2)	2 121 888	0.3
Castile-Leon	52 (10.2)	2 546 078	2.0
Catalonia	112 (21.9)	7 570 908	1.5
Extremadura	4 (0.8)	1 108 130	0.4
Galicia	63 (12.3)	2 781 498	2.3
Madrid	68 (13.3)	6 498 570	1.0
Murcia	2 (0.4)	1 474 449	0.1
Navarre	9 (1.8)	644 566	1.4
Basque Country	23 (4.5)	2 193 093	1.0
Valencia	20 (3.9)	5 129 266	0.4
Total	511 (100)	46 776 902	1.1

La Rioja and the autonomous cities of Ceuta and Melilla are not represented in this table as no cases were registered in any of these regions.

AC: autonomous community.

^a Data expressed as *n* (%).

^b Rate: cases/100 000 inhabitants.

The percentage of correctly completed forms was high for most variables except for lung function parameters. Data reporting by variables is shown in Table 2.

Follow-up data were available for 225 individuals (44%). Median patient follow-up was 2 entries (interquartile range [IQR]: 1–4), and median follow-up time was 36 months [IQR: 12–84]. Investigators who registered a greater number of cases reported follow-up of their patients more frequently, and accumulated a longer follow-up period (mean 64.4 months for investigators with more than 40

Table 2
Description of Data Reporting by Variables.

	Variable	Collected	%	
Demographic variables	Initial	511	100	
	Date of birth	511	100	
	Sex	511	100	
	Height	489	96	
	Weight	481	94	
Clinical variables	Reason for determination	510	100	
	Phenotype	511	100	
	Date of diagnosis	464	91	
	Clinical presentation	511	100	
	Age at onset of symptoms	362	71	
	Main symptom	439	86	
	Treatment	COPD medication	511	100
CHO		511	100	
Have they ever received RT?		511	100	
Did they stop treatment?		511	100	
Lung transplantation		511	100	
LFT		FEV1 preBD baseline (L)	462	90
		FVC preBD baseline (L)	441	86
		FEV1 postBD baseline (L)	427	84
	FVC postBD baseline (L)	408	80	
	FEV1 preBD follow-up (L)	369	72	
	FVC preBD follow-up (L)	366	72	
	FEV1 postBD follow-up (L)	390	76	
FVC postBD follow-up (L)	386	76		
Other variables	KCO (%)	144	28	
	Liver enzyme tests	511	100	
	Working situation	485	95	
Death (yes/no)		511	100	
Total		511		

BD: bronchodilator; CHO: chronic home oxygen therapy; COPD: chronic obstructive pulmonary disease; FEV1: forced expiratory volume in 1 second; FVC: forced vital capacity; KCO: Krogh index or transfer coefficient (DLCO/VA); LFT: lung function tests; RT: replacement therapy.

Table 3
Characteristics of the Pi*ZZ Individuals Divided Into Index and Non-index Cases.

Variable	Index	Non-index	P
Mean age ^a	58.9 (10.4)	54 (12.2)	.002
Sex (men)	167 (65.2)	27 (43.5)	.002
BMI (kg/cm ²)	25 (4.1)	25.6 (4)	.3
Smoking habit			
Never smoker	30 (11.7)	10 (16.1)	
Active smoker	18 (7)	6 (9.7)	.46
Former smoker	208 (81.3)	46 (74.2)	
Age at diagnosis (years) ^a	48.14 (10.6)	38.49 (11.7)	<.001
Age at onset of symptoms (years) ^a	37.9 (13)	36.7 (12.8)	.002
Reason for determination			
Lung disease	256 (95.2)	–	
Liver disease	13 (4.8)	–	
Family screening	–	62 (100)	–
Clinical presentation			
Chronic bronchitis	121 (47.3)	15 (24.2)	.001
Emphysema	229 (89.5)	30 (48.4)	<.001
Asthma	43 (16.8)	14 (22.6)	.29
Bronchiectasis	88 (34.4)	18 (29)	.42
Other	28 (10.9)	5 (8.1)	.51
Main symptom			
Non-productive cough	6 (2.5)	4 (9.5)	
Productive cough	37 (15.6)	4 (9.5)	
Dyspnea at rest	10 (4.2)	0	<.001
Dyspnea on exertion	171 (72.2)	20 (47.6)	
Dyspnea attack	11 (4.6)	4 (9.5)	
Asymptomatic	2 (0.8)	10 (23.8)	
History of pneumonia	83 (30.9)	9 (14.5)	.005
Bronchiectasis	88 (32.7)	18 (29)	.42
FEV1 mean baseline (L)	1.58 (0.7)	2.87 (1.2)	<.001
FEV1 mean baseline (%)	47.4 (23.5)	76.2 (32.6)	<.001
Medication for lung disease	232 (86.2)	26 (41.9)	<.001
Oxygen therapy	44 (16.4)	4 (6.5)	.03
Replacement therapy	139 (51.7)	16 (25.8)	<.001

Data expressed as n (%).

BMI: body mass index; FEV1: forced expiratory volume in 1 s.

^a Data expressed as mean (SD).

cases compared to 34.7 months for investigators with 1–2 cases). Both differences were statistically significant ($P=.001$).

Characteristics of Patients Included in the Spanish Registry of Patients with Alpha-1 Antitrypsin Deficiency

A total of 448 adult patients were carriers of the Pi*ZZ or Pi*SZ phenotypes (348 Pi*ZZ and 100 Pi*SZ), and the rest were carriers of rare variants. Mean age at the time of diagnosis was 47.3 years (12.7) and mean age at the time of performing the analysis was 57.4 years (12.1). Aside from the symptoms that prompted the AATD study, a total of 364 (81%) of the adult patients presented some type of lung disease, particularly the Pi*ZZ population: 305 (87.6%) vs 59 (59%) of the Pi*SZ ($P<.001$). The 348 Pi*ZZ adults represented 74.2% of all cases registered. There were 269 (77.2%) index cases, while 62 (17.8%) were non-index cases. The characteristics of the Pi*ZZ individuals divided into index and non-index cases are shown in Table 3. The index cases were older at the time of AATD diagnosis, had poorer lung function, and higher mortality.

Characteristics According to Replacement Therapy

In total, 158 (35.3%) patients received replacement therapy with intravenous AAT at some point. Characteristics of patients who received or did not receive replacement therapy are shown in Table 4. Patients who received treatment had poorer lung function and more symptoms at the time of registration.

Table 4
Characteristics of Adult Pi*ZZ Population by Replacement Therapy Prescription.

Variable	No RT	RT	P
Mean age (years) ^a	56.8 (12.9)	58.7 (8.2)	.15
Sex (men)	106 (55.8)	107 (67.7)	.02
BMI (kg/cm ²)	25.5 (3.7)	24.6 (4.2)	.09
Smoking habit			
Never smoker	34 (17.9)	17 (10.8)	
Active smoker	17 (8.9)	8 (5.1)	.046
Former smoker	139 (73.2)	133 (84.2)	
No. of cigarettes/day ^a	18.9 (9.4)	22.3 (10.3)	.013
Age at diagnosis (years) ^a	46.8 (13.2)	46.2 (9.7)	.65
Age at onset of symptoms (years) ^a	38.6 (14.5)	37.1 (12)	.36
Reason for determination			
Lung disease	117 (61.6)	139 (88)	
Liver disease	11 (5.8)	2 (1.3)	<.001
Other	4 (2.1)	1 (0.6)	
Family screening	46 (24.2)	16 (10.1)	
Population screening	2 (1.1)	0	
Other	9 (4.7)	0	
Not available	1 (0.5)	0	
Clinical presentation			
Chronic bronchitis	62 (32.6)	81 (51.3)	<.001
Emphysema	124 (65.3)	149 (94.3)	<.001
Asthma	36 (18.9)	24 (15.2)	.36
Bronchiectasis	60 (31.6)	52 (32.9)	.79
Main symptom			
Non-productive cough	5 (3.9)	4 (2.8)	
Productive cough	28 (18.1)	14 (9.8)	<.001
Dyspnea at rest	6 (3.9)	5 (3.5)	
Dyspnea on exertion	90 (58.1)	111 (77.6)	
Dyspnea attack	8 (5.2)	9 (6.3)	
Asymptomatic	17 (11)	0	
History of pneumonia	48 (25.3)	47 (29.7)	.35
Bronchiectasis	60 (31.6)	52 (32.9)	.79
FEV1 mean baseline (L) ^a	2.3 (1.1)	1.5 (0.6)	<.001
FEV1 mean baseline (%)	73.5 (30.6)	42.2 (20.2)	<.001
Medication for lung disease	128 (67.4)	142 (89.9)	<.001
Oxygen therapy	19 (10)	30 (19)	<.016
Transplant	3 (1.6)	11 (7)	.011
Death	13 (6.8)	41 (25.9)	<.001

Data expressed as n (%).

BMI: body mass index; FEV1: forced expiratory volume in 1 s; RT: replacement therapy.

^a Data expressed as mean (SD).

Discussion

The REDAAT registry collects clinical and functional data from more than 500 individuals with AATD, principally Pi*ZZ and Pi*SZ phenotype carriers who mostly have lung disease. Thirty-five percent of them received AAT replacement therapy and follow-up data are available for about half of all cases. This underreporting of follow-up may be due to various reasons: asymptomatic patients with no manifestations of lung or liver disease do not generally attend regular clinic visits, and candidates for transplantation are referred to transplantation units and may lose contact with the pulmonologist who diagnosed them in their center of origin. Registry adherence by professionals varies for different reasons, but changes can be expected over the 20-year period since it was initiated, and many cases have been lost to follow-up as circumstances change for both doctors and patients (retirements, transfers, hospital mergers, changes in address, etc.).

Analysis of the REDAAT data shows a wide disparity in the proportion of cases registered by each doctor, and the geographical distribution of registered cases is also very irregular. These variations cannot be explained by differences in population, prevalence of the deficiency, or availability of health resources in the different autonomous communities. Indeed, the variations appear to correspond more to the investigator's profile and the size of the center than to the prevalence of AATD in each geographical area. The

database contains good quality information and is a useful tool for the study of patient characteristics and the natural history of AATD.

A marked trend was observed toward accumulation of cases in third-level hospitals. This may be associated with the availability of diagnostic techniques, the severity of the lung disease, and the age of patients with pulmonary involvement, who may be candidates for inclusion in transplantation programs. Eighteen percent of registered individuals were seen in the center in which REDAAT was set up, which was also the first hospital in Spain to use replacement therapy.^{3,4} This suggests that a continuing interest in this disease in this center leads to higher rates of diagnosis, although this may also be the effect of larger numbers of patients being referred by other specialists to this center.

Centers which register most cases also seem to update the data more regularly. One possible reason for this is that, although a growing number of cases are now detected in smaller centers, this is a rare disease and the impact on functional status can be severe, so patients are likely to be referred to other higher level facilities, and the doctor who made the initial diagnosis does not have ready access to follow-up data.

No reliable epidemiological data are currently available from the National Health System specifying the real number of diagnosed individuals, so we cannot be sure that the sample included in the REDAAT is representative, nor can we rule out bias in the selection of the patients registered. So, while we can assume that not all diagnosed cases are registered, we can only estimate the rate of underdiagnosis in Spain using the data presented here, and these show that information is available on only a small percentage of the estimated AATD population based on prevalence studies.

There are an estimated 12 000 Pi*ZZ carriers in Spain, of which 471 (3.9%) were registered in the REDAAT as of December 2015. This percentage is higher than that calculated by Stoller (2.4%) from the AIR data and the American registry.^{11,12} It has also been estimated that of the 12 000 carriers of the Pi*ZZ genotype, 2500 (21%) may have COPD. In REDAAT, 440 Pi*ZZ carriers with COPD are registered, accounting for about 18% of estimated COPD-ZZ.¹⁰

While it is obviously of interest to determine the disease course of all AATD patients due to the variable clinical expression of this disease, it is particularly important to expand our knowledge of the patient subgroup that presents with lung disease, as this is the type that impacts most on morbidity and mortality. In this regard, our population is valuable, as it includes a high percentage of individuals with lung disease with an emphysema phenotype, particularly COPD, followed by the chronic bronchitis profile. With regard to the most frequent phenotypes, Pi*ZZ individuals, including those with lower accumulated tobacco consumption, had poorer lung function than Pi*SZ patients.^{9,13}

In terms of data integrity, a high percentage of variables were correctly recorded, except for functional parameters in follow-up. This is indicative of a good database design and good real time data quality control since the website was updated in 2008.⁵ Since then, every new case forwarded to the registry is reviewed by the administrator before validation and definitive inclusion in the database. Errors or incomplete data can be very quickly detected and corrected.

The design of the REDAAT database has some limitations. The simplicity of the form means that data collection is quick (less than 10 minutes), but there is no opportunity to include detailed information about some important aspects. Lack of information on the medication used, radiological findings to confirm the diagnosis of pneumonia, bronchiectasis or emphysema extension, confirmation of the quality or standardization of spirometries may be considered weaknesses that could reduce the reliability of the results. Therefore, the conclusions reached from an analysis of the database are not as solid as those that would be drawn from a prospective clinical trial or study specifically designed to evaluate these parameters.

However, the data collected provide information on patient profiles and generate very important data for the potential design of more detailed studies on certain aspects of AATD.

A mean of 39 cases are registered annually, but the rate per year varies enormously. This is largely due to the different stages in the development of REDAAT. The online registry was launched in 2001, and the cases previously recorded on paper were transferred to the electronic database.⁸ Between 2007 and 2008 the server was changed and the website that hosted the database was updated, as a result of which the registry was not operational for several months. Between 2012 and 2013, SEPAR led an awareness-raising campaign as part of its SEPAR Year for Orphan Diseases that included activities specifically aimed at AATD, and this may have contributed to a greater number of entries.

In our population, onset of symptoms generally occurs between the 3rd and 4th decade of life, as also described by other authors.¹⁴ The delay between onset of symptoms and diagnosis of deficiency is almost 10 years on average. This finding is very similar to data provided by the American registry.¹⁵ However, the fact that COPD is greatly underdiagnosed and most AATD patients have this disease could have a cumulative effect: delay in COPD diagnosis added to the failure to suspect AATD means that advanced stages of emphysema are reached.¹⁶

Our study revealed that a high number of the cases registered as non-index had lung disease, but the possible existence of AATD was not suspected until it was detected in another family member. This finding reflects a continued lack of awareness or knowledge of AATD among health professionals, despite recommendations from institutions such as the World Health Organization and scientific societies.^{5,17–19}

Replacement therapy was administered to 45.4% of the patients at some time since inclusion in the REDAAT registry. These patients more frequently presented emphysema and chronic bronchitis, they were more symptomatic and had worse lung function, higher mortality and indication for transplantation. In these patients, greater severity was associated with criteria for starting therapy, but no conclusions can be drawn as regards treatment efficacy. However, data on the efficacy of therapy were retrieved from the American registry, which was designed for this purpose, and provided information on 1048 individuals, with a follow-up of 3.5–7 years. A significant reduction in mortality was detected and FEV1 decreased more gradually in patients with FEV1 of 35%–49% who received continuous or intermittent treatment.²⁰

Conclusions

REDAAT is a useful tool for studying the reality of AATD in Spain. The registry data are reasonably complete, although few participating doctors participate for long enough to allow follow-up data to be entered. The age of the patient cohort is similar to that of cohorts included in other registries, and most individuals have lung disease.

Conflict of Interests

Beatriz Lara has received fees for scientific consultancy and/or for speaking engagements from Bayer, Glaxo Smith Kline, Boehringer Ingelheim, Novartis, Grifols, Talecris, Menarini, Laboratorios Rovi and Pfizer.

Francisco Casas has received fees for scientific consultancy and/or for speaking engagements from Almirall, AstraZeneca, Boehringer Ingelheim, Grupo Ferrer, GlaxoSmithKline, Grifols, Laboratorios Esteve, Pfizer, Teva, Menarini, Novartis, Gebro Pharma and Takeda.

Sergio Cadenas has received fees for speaking engagements from Almirall, Astra Zeneca, Boehringer Ingelheim, GlaxoSmithKline, Grifols and Novartis.

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