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Original Article

Association of Forced Expiratory Volume in 0.5 s With All-Cause Mortality Risk in Adults

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ABSTRACT

Introduction: Previous studies have proposed forced expiratory volume in 0.5 s (FEV_{0.5}) to determine health outcomes in infants and young children, but few studies exist in adults. This study aims to investigate the associations between FEV_{0.5} and all-cause mortality in adults.

Methods: Participants were enrolled from the National Health and Nutrition Examination Survey (NHANES) (1988–1994 [NHANES III] and 2007–2012 cycles). Participants aged ≥ 20 years, not pregnant with qualifying prebronchodilator FEV_{0.5} data, acceptable spirometry, complete body measurements, and follow-up data for mortality were included. The association between FEV_{0.5} and all-cause mortality risk was evaluated by multivariable Cox regression. Restricted cubic spline analysis was used to evaluate the non-linear relationship between FEV_{0.5} and all-cause mortality. Subgroup analyses were conducted with stratification by sex, age, body mass index, smoking status, and race.

Results: Overall, 25,357 individuals were included, with a median follow-up of 308 months. The mean \pm standard deviation age was 46.1 ± 7.2 years, and the mean prebronchodilator FEV_{0.5} was 2412 ± 699 mL. A reduction in FEV_{0.5} was associated with an increased all-cause mortality risk. A non-linear relationship was observed between FEV_{0.5} and all-cause mortality risk. The results were maintained in subgroup analyses.

Conclusion: FEV_{0.5} was inversely associated with all-cause mortality risk in adults, indicating its potential for monitoring respiratory health.

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Introduction

Pulmonary function testing is non-invasive and is a useful method to evaluate respiratory diseases. Pulmonary function test results include forced expiratory volume in 1 s (FEV₁) and forced vital capacity (FVC), which are important for diagnosing respiratory diseases, as well as for identifying the subtype, severity, and

nature of the respiratory disease. Evidence suggests that individuals with below-average FEV₁ but still within the normal range have a higher risk of mortality than those with a higher FEV₁. Therefore, relying on the assumption that an individual's FEV₁ falls within the normal range to predict health outcomes may inadvertently exclude some at-risk individuals. The same conclusion was reached in previous studies on FVC.^{1–3} The existing literature does not adequately address the question of how observed changes in FVC in a single patient can inform clinical decision making.⁴ Therefore, it is imperative to identify supplementary indicators to achieve a more precise assessment of respiratory health outcomes.

The published literature predominantly describes the respiratory health of preschool children due to the physiological

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constraint that infants and preschoolers typically exhale within a duration of 1 s. Consequently, forced expiratory volume in 0.5 s (FEV_{0.5}) has emerged as a potentially precise indicator within this demographic. Several studies have demonstrated a noteworthy correlation between FEV_{0.5} and respiratory health in preschool children, thus affirming its utility as a pivotal marker for lung function assessment.^{5,6} The insights obtained from these investigations offer valuable perspectives on the spectrum of lung diseases in infants and young children, the efficacy of therapeutic interventions, and the dynamics of disease progression. Nonetheless, the understanding of the associations between FEV_{0.5} and respiratory health outcomes in adults requires improvement. Understanding the associations between FEV_{0.5} and respiratory health outcomes in adults has important implications for monitoring respiratory health prognosis in this population.

In this study, we aim to elucidate the associations between FEV_{0.5} and all-cause mortality, comorbidities, and chronic respiratory symptoms. The analysis is based on a substantial sample of representative US civilians.

Methods

Study Design and Population

We utilized data from the National Health and Nutrition Examination Survey (NHANES), a collaborative effort spearheaded by the Centers for Disease Control and Prevention and the National Centers for Health Statistics (NCHS) in the US. Ethical approval for the NHANES protocol was duly obtained from the Research Ethics Review Board of the NCHS, and all participants provided written informed consent. Our dataset, which was sourced from the NHANES website (www.cdc.gov/nchs/nhanes/), spans the 1988–1994 (NHANES III) and 2007–2012 cycles due to the availability of FEV_{0.5} data and the fact that these cycles encompassed a comprehensive array of demographic, examination, and questionnaire data.

This study included all baseline data from the participants who had complete data on FEV_{0.5}. The main inclusion criteria were (1) age ≥ 20 years; (2) non-pregnant; (3) acceptable spirometry; (4) qualifying FEV_{0.5} data; (5) complete demographic data and information on smoking status; and (6) complete follow-up data for all-cause mortality.

Lung Function Assessment

The majority of the participants in the NHANES III and NHANES 2007–2012 completed prebronchodilator pulmonary function measurements, while only a small number completed postbronchodilator pulmonary function measurements. Therefore, this study was based on an analysis of the prebronchodilator spirometry data. All pulmonary function measurements were obtained using the Ohio 822/827 Dry Rolled Volume Sealed Spirometer. In the NHANES study, spirometry was performed in accordance with previously recommended guidelines of the American Thoracic Society (ATS).

Stringent quality control measures were applied, necessitating the exclusion of participants who failed to meet the pulmonary function testing criteria. In the NHANES III (1988–1994), individuals with reproducible FEV₁ and FVC measurements with ≥ 2 acceptable trials were included.⁷ In the NHANES 2007–2012, individuals with FEV₁ and FVC that were considered to be of grade A (exceeding the ATS data collection standards) or grade B (meeting the ATS data collection standards) in terms of quality were included.^{8–10} Lower limit of normal (LLN) for FEV₁ and FVC is calculated using the GLI online calculator with a race-neutral approach.^{11,12}

All participants were systematically stratified into four quartiles based on their prebronchodilator FEV_{0.5} values, designated as group I (0 mL to <1937 mL), group II (≥ 1937 mL to <2388 mL), group III (≥ 2388 mL to <2884 mL), and group IV (≥ 2884 mL).

Outcome

The primary outcome was the all-cause mortality. The secondary outcomes were the risks of comorbidities and chronic respiratory symptoms. The all-cause mortality data were obtained from the comprehensive death certificate records of the National Death Index (NDI) of the NCHS. Information regarding comorbidities and chronic respiratory symptoms was gathered from the questionnaire data on the same date as the pulmonary function tests. This involved inquiring with the patients as to whether they had ever been informed that they had comorbidities by a medical professional, including a doctor or another healthcare provider. Data on chronic respiratory symptoms were gathered via patient self-reporting, wherein the participants were questioned about whether they had experienced cough, cold, sputum production, runny nose, or any other respiratory illness.^{13–15}

Covariate Definitions

Information on various demographic and health-related factors was gathered on the same date as the pulmonary function tests, including age, sex, race, smoking status, educational level and poverty income ratio from the NHANES household interviews. BMI was calculated as weight in kilograms divided by height in meters squared and grouped into four categories: underweight (<18.5 kg/m²), normal (≥ 18.5 kg/m² to <25 kg/m²), overweight (≥ 25.0 kg/m² to <30.0 kg/m²), and obese (≥ 30.0 kg/m²). Body surface area (BSA) was calculated using the following formula: BSA (m²) = (body weight [kg])^{0.425} × (height [cm])^{0.725} × 0.007184.¹⁶ Race was categorized as Mexican–American, non-Hispanic White, non-Hispanic Black, or other race. Educational level was categorized as Less than 9th grade, 9–12th grade or above 12th grade. Poverty income ratio (PIR) was categorized as low-income (PIR < 1.3), middle-income (3.50 > PIR ≥ 1.30) or high-income (PIR ≥ 3.50). The criteria for classifying smoking status are as follows: “Have you smoked at least 100 cigarettes in your entire life?”, participants who answered “No” were classified as “never smokers.” Those who answered “Yes” were identified as smokers, and based on their answer to the question, “Do you smoke cigarettes now?”, they were classified as “current smokers” (“Yes”) or “former smokers” (“No”).

Statistical Analysis

Continuous variables were compared between the groups by analysis of variance, while categorical variables were compared using Pearson’s Chi-square test. The multivariable logistic regression model was used to estimate the association of FEV_{0.5} with the presence of comorbidities and the presence of chronic respiratory symptoms. Trends in these associations were calculated using quartiles as quasi-continuous variables in the multivariable logistic regression model.

Kaplan–Meier survival analyses were performed to identify differences in all-cause mortality between the groups. The multivariable Cox regression models were used to estimate the association between FEV_{0.5} and all-cause mortality risk. The proportional-hazards assumption was checked graphically using the Schoenfeld residual test. To further understand the association between FEV_{0.5} and all-cause mortality, we performed Cox proportional-hazards regression analyses with restricted cubic spline (RCS) analysis utilizing five knots. The trend in the associ-

Table 1
Baseline Characteristic of Participants Included in This Study.

Characteristic	Total Participants (n = 25,357)	Group I (n = 6352)	Group II (n = 6339)	Group III (n = 6328)	Group IV (n = 6338)	P Value
Age, yr	46.1 (17.2)	61.0 (14.6)	46.7 (15.9)*	41.0 (14.8)*,†	35.9 (12.1)*,†,‡	<0.001
Male Sex, n (%)	12,353 (48.7)	1352 (10.9)	1909 (15.5)*	3301 (26.7)*,†	5791 (46.9)*,†,‡	<0.001
Body mass index, kg/m ²	28.0 (6.3)	28.7 (6.9)	28.1 (6.5)*	27.7 (6.2)*,†	27.4 (5.4)*,†	<0.001
Race, n (%)						<0.001
Non-Hispanic white	10,894 (43.0)	2899 (26.6)	2550 (23.4)*	2617 (24.0)*	2828 (26.0)†,‡	
Non-Hispanic black	6130 (24.2)	1830 (29.9)	1708 (27.9)	1441 (23.5)*,†	1151 (18.8)*,†,‡	
Mexican-American	5613 (22.1)	952 (17.0)	1348 (24.0)*	1572 (28.0)*,†	1741 (31.0)*,†,‡	
Other	2720 (10.7)	671 (24.7)	733 (26.9)	698 (25.7)	618 (22.7)†	
Smoke status, n (%)						<0.001
Never smoker	12,991 (51.2)	3184 (24.5)	3450 (26.6)*	3226 (24.8)†	3131 (24.1)†	
Current smoker	6362 (25.1)	1449 (22.8)	1470 (23.1)	1641 (25.8)*,†	1802 (28.3)*,†,‡	
Former smoker	6004 (23.7)	1719 (28.6)	1419 (23.6)*	1461 (24.3)*	1405 (23.4)*	
Education level, n (%)						<0.001
Less than 9th grade	3989 (15.8)	1535 (38.5)	952 (23.9)*	829 (20.8)*,†	673 (16.9)*,†,‡	
9–12th grade	10,961 (43.4)	2857 (26.1)	2808 (25.6)	2666 (24.3)*	2630 (24.0)*,†	
Above 12th grade	10,315 (40.8)	1938 (18.8)	2558 (24.8)*	2809 (27.2)*,†	3010 (29.2)*,†,‡	
Poverty income ratio, n (%)						<0.001
Low-income (PIR < 1.3)	7134 (30.7)	2006 (28.1)	1780 (25.0)*	1715 (24.0)*	1633 (22.9)*,†	
Middle-income (3.50 > PIR ≥ 1.30)	9506 (40.9)	2385 (25.1)	2365 (24.9)	2390 (25.1)	2366 (24.9)	
High-income (PIR ≥ 3.50)	6590 (28.4)	1290 (19.6)	1673 (25.4)*	1748 (26.5)*	1879 (28.5)*,†	
Pre-bronchodilator spirometry						
FEV _{0.5} , mL	2412 (699)	1539 (321)	2169 (128)*	2619 (142)*,†	3324 (346)*,†,‡	<0.001
FEV ₁ , mL	3041 (909)	1931 (394)	2721 (231)*	3301 (261)*,†	4213 (497)*,†,‡	<0.001
FVC, mL	3875 (1075)	2705 (605)	3505 (503)*	4142 (558)*,†	5153 (691)*,†,‡	<0.001
FEV _{0.5} /FVC, %	62.5 (8.9)	58.0 (11.3)	62.9 (7.9)*	64.1 (7.5)*,†	65.1 (6.5)*,†,‡	<0.001
FEV ₁ /FVC, %	78.4 (8.8)	72.4 (10.9)	78.5 (7.3)*	80.5 (6.9)*,†	82.1 (5.7)*,†,‡	<0.001

Definition of abbreviations: PIR = poverty income ratio; FEV_{0.5} = forced expiratory volume in 0.5 s; FEV₁ = forced expiratory volume in 1 s; FVC = forced vital capacity. Data are shown as mean (SD) unless otherwise specified.

* Significantly different from Group I ($P < 0.05$).

† Significantly different from Group II ($P < 0.05$).

‡ Significantly different from Group III ($P < 0.05$).

ation between FEV_{0.5} and all-cause mortality risk was calculated using quartiles as quasi-continuous variables in the multivariable Cox regression model.

Subgroup analyses were also performed to evaluate the impact of FEV_{0.5} on all-cause mortality across various subgroups stratified by age (20–40, 41–60, and 61–80 years), sex, race (Mexican–American, non-Hispanic White, non-Hispanic Black, other race), BMI (underweight, normal, overweight, obese), and smoking status (never smoker, former smoker, current smoker). To verify whether FEV_{0.5} adds prognostic value beyond FEV₁ and FVC, we repeated the analysis in the subgroups with FEV₁ ≥ LLN, FVC ≥ LLN, and both FEV₁ and FVC ≥ LLN. In cases of missing data, we used a deletion measure and abstained from using data interpolation. $P < 0.05$ was considered statistically significant for all tests. R Studio, version 4.3.3, and SPSS, version 29.0, were used to conduct the statistical analyses.

Results

Baseline Characteristics of the Study Participants

Of the 50,492 participants from the NHANES III ($n = 20,050$) and NHANES 2007–2012 ($n = 30,442$), 25,357 were included in the present analysis after applying the eligibility criteria. Reasons for exclusion are shown in Fig. S1. The baseline characteristics of the participants are presented in Table 1. The median follow-up time was 308 months. The mean age was 46.1 ± 7.2 years, and the mean BMI was 28.0 ± 6.3 kg/m². Fig. S2 illustrates the FEV_{0.5} distribution at baseline. The mean FEV_{0.5} was 2412 ± 699 mL, with a median (interquartile range) FEV_{0.5} of 2388 (1937–2884) mL. There were 6352 participants in group I, 6339 in group II, 6328 in group III, and

6338 in group IV. Compared with individuals in groups II, III, and IV, individuals in group I tended to be older and have higher BMI.

Association Between FEV_{0.5} and All-Cause Mortality Risk

Fig. 1 illustrates the results of all-cause mortality in the different groups. Significant differences in all-cause mortality were observed among the four groups (log-rank $P < 0.05$). During follow-up, group I had 3054 deaths (48.1%), group II had 1474 deaths (23.3%), group III had 1051 deaths (16.6%), and group IV had 716 deaths (11.3%). Table 2 reports the results of the association between FEV_{0.5} and all-cause mortality risk in the different groups. In the univariable regression analysis, compared with individuals in group IV, individuals in groups I, II, and III had a higher risk of all-cause mortality (HR_{group I} 6.70, 95% confidence interval [CI] 6.17–7.27; HR_{group II} 2.31, 95% CI 2.11–2.53; HR_{group III} 1.56, 95% CI 1.42–1.72; all $P < 0.05$; $P_{\text{trend}} < 0.05$). After adjustment for age, sex, smoking, body mass index, body surface area, race, educational level, poverty income ratio, and comorbidities (congestive heart failure, stroke, Asthma, chronic bronchitis, emphysema, cancer, diabetes, and hypertension), the multivariable regression analysis results were consistent. Compared with individuals in group IV, individuals in groups I, II, and III had a higher risk of all-cause mortality (HR_{group I} 1.77, 95% CI 1.57–2.00; HR_{group II} 1.28, 95% CI 1.15–1.43; HR_{group III} 1.15, 95% CI 1.04–1.28; all $P < 0.05$; $P_{\text{trend}} < 0.05$). FEV_{0.5} was numerically higher than FEV₃ and FEV₃/FVC in its ability (the concordance index of the multivariable Cox regression model) to identify the risk of all-cause mortality, but the difference did not reach statistical significance (Fig. S3).

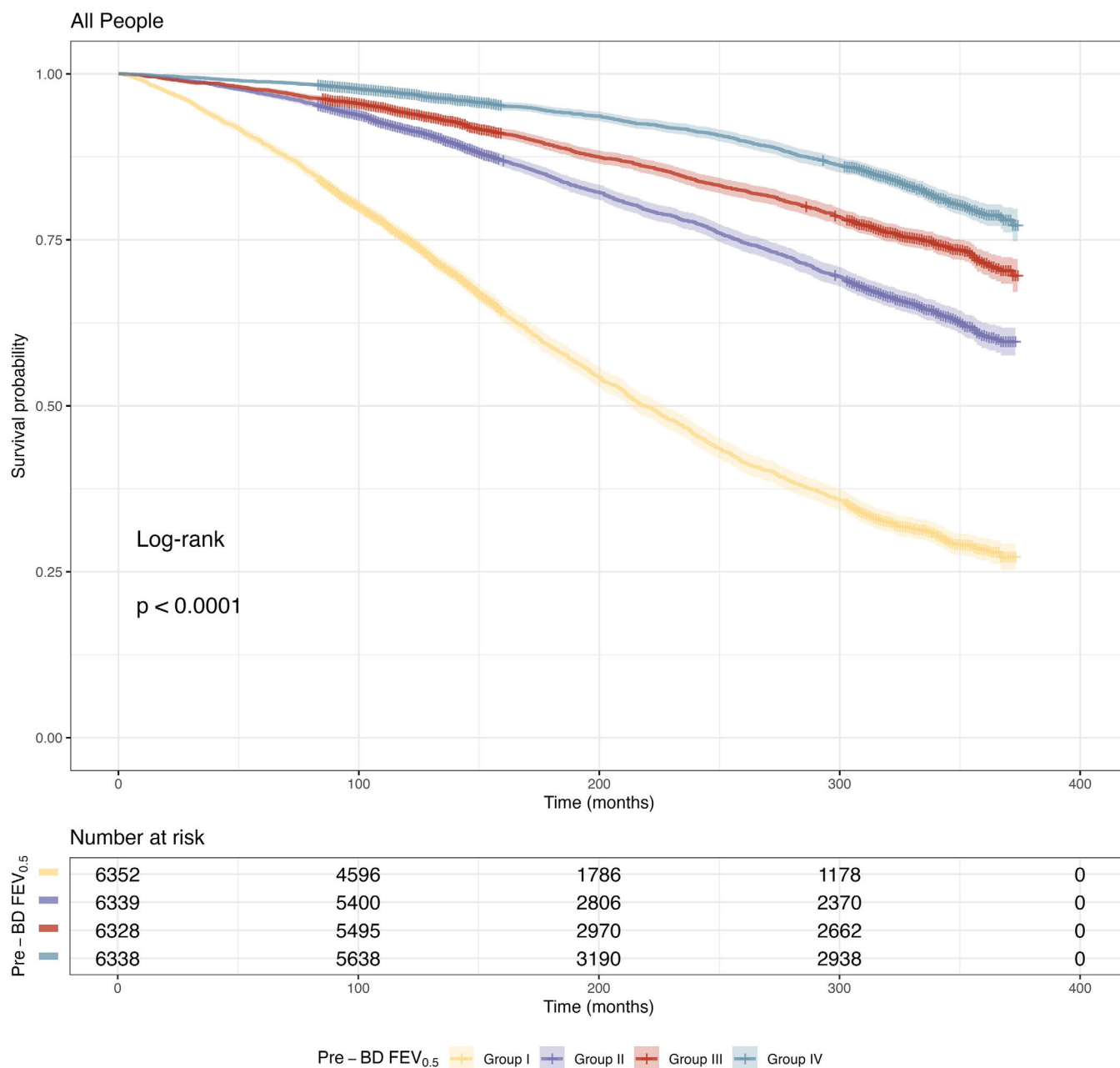


Fig. 1. Mortality risk stratified by prebronchodilator forced expiratory volume in 0.5 s levels at baseline. Definition of abbreviations: BD = bronchodilator; FEV_{0.5} = forced expiratory volume in 0.5 s.

Table 2
Associations Between Pre-Bronchodilator Forced Expiratory Volume in 0.5 s and Risk of All-Cause Mortality.

Group	Unadjusted				Adjusted*			
	N	HR (95% CI)	P Value	P Trend	N	HR (95% CI)	P Value	P Trend
Group I	25,357	6.70 (6.17–7.27)	<0.001	<0.001	22,957	1.77 (1.57–2.00)	<0.001	<0.001
Group II		2.31 (2.11–2.53)	<0.001			1.28 (1.15–1.43)	<0.001	
Group III		1.56 (1.42–1.72)	<0.001			1.15 (1.04–1.28)	0.008	
Group IV		Reference				Reference		

Definition of abbreviations: HR = hazard ratio; CI = confidence interval; N = number of participants included in the analysis.

* Adjust for age, sex, smoking, body mass index, body surface area, race, educational level, poverty income ratio, and comorbidities (congestive heart failure, stroke, asthma, chronic bronchitis, emphysema, cancer, diabetes, and hypertension).

Table 3
Association Between Comorbidity and Chronic Respiratory Symptoms and Pre-Bronchodilator Forced Expiratory Volume in 0.5 s.

Variable	Group	Unadjusted (N= 25,357)				Adjusted*		
		OR (95% CI)	P Value	P Trend	N	OR (95% CI)	P Value	P Trend
Congestive heart failure	Group I	9.73 (6.95–13.63)	<0.001	<0.001	23,131	3.54 (2.27–5.52)	<0.001	<0.001
	Group II	3.28 (2.28–4.73)	<0.001			1.92 (1.26–2.92)	0.002	
	Group III	2.42 (1.66–3.54)	<0.001			1.75 (1.16–2.65)	0.007	
	Group IV	Reference				Reference		
Stroke	Group I	9.64 (6.72–13.84)	<0.001	<0.001	23,152	1.90 (1.18–3.05)	0.008	0.006
	Group II	3.82 (2.60–5.61)	<0.001			1.63 (1.05–2.52)	0.029	
	Group III	2.17 (1.43–3.28)	<0.001			1.36 (0.88–2.11)	0.166	
	Group IV	Reference				Reference		
Asthma	Group I	2.29 (2.03–2.58)	<0.001	<0.001	23,156	6.53 (5.37–7.93)	<0.001	<0.001
	Group II	1.48 (1.31–1.69)	<0.001			2.74 (2.32–3.24)	<0.001	
	Group III	1.32 (1.16–1.51)	<0.001			1.89 (1.63–2.20)	<0.001	
	Group IV	Reference				Reference		
Chronic bronchitis	Group I	6.10 (4.98–7.47)	<0.001	<0.001	23,150	5.14 (3.84–6.89)	<0.001	<0.001
	Group II	2.68 (2.16–3.34)	<0.001			2.54 (1.95–3.30)	<0.001	
	Group III	2.23 (1.78–2.79)	<0.001			2.08 (1.62–2.66)	<0.001	
	Group IV	Reference				Reference		
Emphysema	Group I	16.42 (9.89–27.24)	<0.001	<0.001	23,154	11.36 (6.17–20.93)	<0.001	<0.001
	Group II	3.46 (1.98–6.04)	<0.001			3.25 (1.77–5.97)	<0.001	
	Group III	2.64 (1.48–4.70)	<0.001			2.45 (1.34–4.47)	0.004	
	Group IV	Reference				Reference		
Cancer	Group I	6.24 (5.22–7.46)	<0.001	<0.001	23,155	1.94 (1.49–2.52)	<0.001	<0.001
	Group II	3.57 (2.96–4.30)	<0.001			2.12 (1.68–2.67)	<0.001	
	Group III	2.18 (1.79–2.66)	<0.001			1.68 (1.35–2.10)	<0.001	
	Group IV	Reference				Reference		
Diabetes	Group I	5.90 (5.06–6.88)	<0.001	<0.001	23,145	2.41 (1.91–3.04)	<0.001	<0.001
	Group II	2.91 (2.47–3.43)	<0.001			1.90 (1.55–2.33)	<0.001	
	Group III	1.76 (1.48–2.10)	<0.001			1.45 (1.19–1.77)	<0.001	
	Group IV	Reference				Reference		
Hypertension	Group I	4.33 (3.99–4.71)	<0.001	<0.001	23,065	1.43 (1.24–1.65)	0.001	<0.001
	Group II	1.92 (1.76–2.10)	<0.001			1.14 (1.01–1.29)	0.032	
	Group III	1.37 (1.25–1.50)	<0.001			1.06 (0.95–1.18)	0.284	
	Group IV	Reference				Reference		
Chronic cough	Group I	2.26 (1.96–2.61)	<0.001	<0.001	19,808	2.65 (2.11–3.32)	<0.001	<0.001
	Group II	1.29 (1.10–1.51)	0.001			1.49 (1.22–1.82)	<0.001	
	Group III	1.23 (1.05–1.44)	0.012			1.34 (1.12–1.61)	0.001	
	Group IV	Reference				Reference		
Chronic phlegm	Group I	1.92 (1.67–2.20)	<0.001	<0.001	19,803	2.42 (1.94–3.03)	<0.001	<0.001
	Group II	1.24 (1.07–1.45)	0.005			1.56 (1.28–1.89)	<0.001	
	Group III	1.11 (0.95–1.30)	0.205			1.26 (1.06–1.51)	0.009	
	Group IV	Reference				Reference		
Wheezing	Group I	2.41 (2.18–2.68)	<0.001	<0.001	23,157	5.43 (4.58–6.43)	<0.001	<0.001
	Group II	1.50 (1.35–1.68)	<0.001			2.58 (2.23–2.97)	<0.001	
	Group III	1.32 (1.18–1.48)	<0.001			1.81 (1.59–2.06)	<0.001	
	Group IV	Reference				Reference		
Shortness of breath	Group I	5.61 (4.94–6.36)	<0.001	<0.001	12,651	4.38 (3.57–5.37)	<0.001	<0.001
	Group II	2.80 (2.46–3.20)	<0.001			2.53 (2.13–3.00)	<0.001	
	Group III	1.87 (1.63–2.14)	<0.001			1.78 (1.52–2.09)	<0.001	
	Group IV	Reference				Reference		

Definition of abbreviations: OR = odds ratio; CI = confidence interval; N = number of participants included in the analysis.

* Adjusted for age, sex, smoking, body mass index, body surface area, race, educational level, and poverty income ratio.

Associations of FEV_{0.5} With Comorbidities and Chronic Respiratory Symptoms

Table 3 illustrates the results of the association between FEV_{0.5} and the presence of comorbidities and chronic respiratory symptoms in each group. In terms of chronic respiratory symptoms, the univariable regression analysis revealed that individuals in groups I, II, and III exhibited an elevated risk of chronic cough, wheezing, and dyspnea than those in group IV. These associations persisted in the multivariable regression analysis, which also identified an increased presence of chronic cough,

chronic phlegm, wheezing, and dyspnea in groups I, II, and III after adjustment for age, sex, smoking, body mass index, body surface area, race, educational level, and poverty income ratio.

In terms of comorbidities, the multivariable regression model revealed a heightened risk of congestive heart failure, asthma, chronic bronchitis, emphysema, cancer, and diabetes mellitus among individuals in groups I, II, and III compared with those in group IV. Similarly, for stroke and hypertension, an increased presence was observed exclusively in groups I and II when compared with group IV.

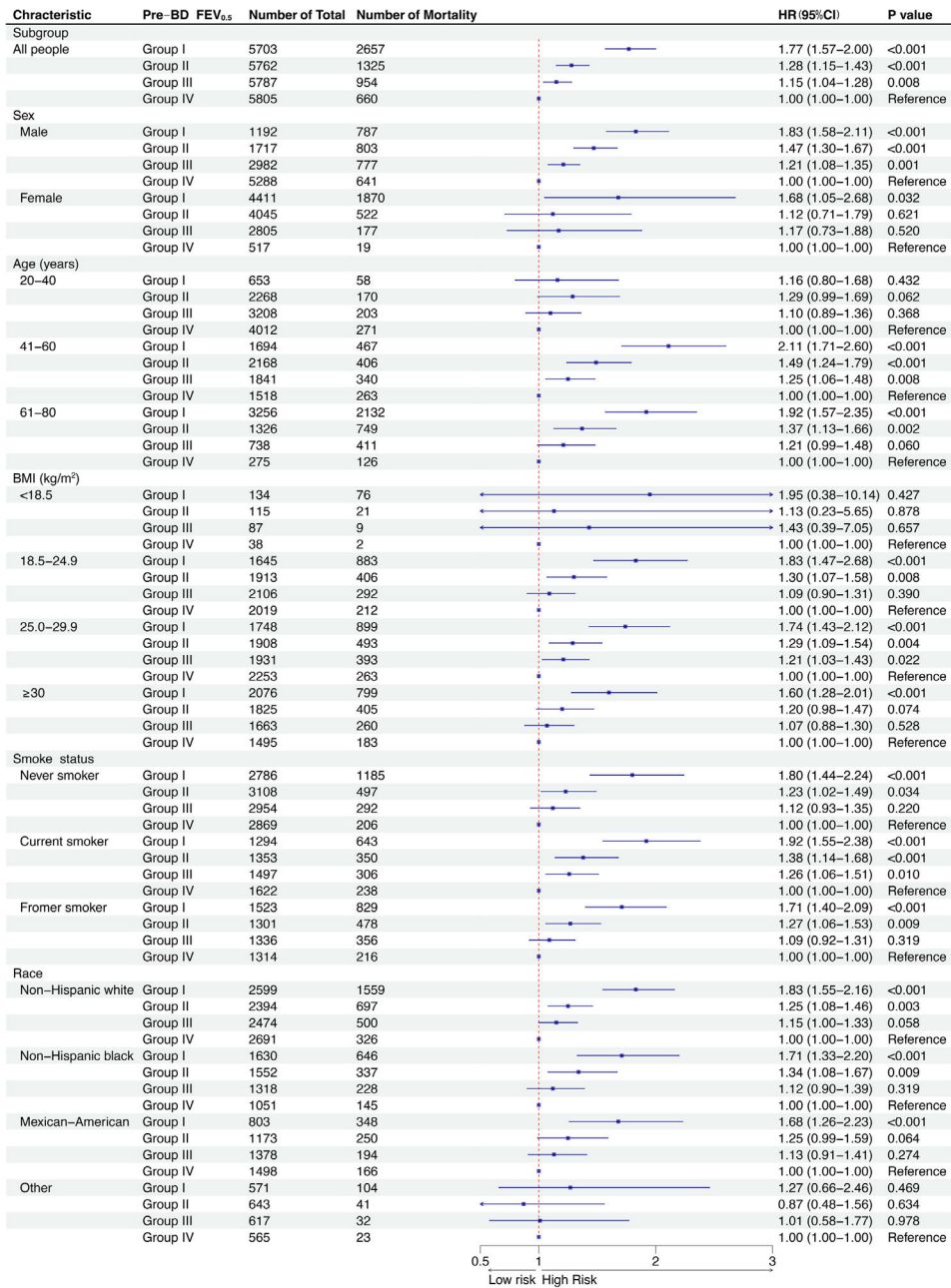


Fig. 2. Multivariable associations between prebronchodilator forced expiratory volume in 0.5 s and risk of all-cause mortality. Definition of abbreviations: BMI = body mass index; BD = bronchodilator; FEV_{0.5} = forced expiratory volume in 0.5 s. Adjust for age, sex, smoking, body mass index, body surface area, race, educational level, poverty income ratio, and comorbidities.

Subgroup Analysis

Fig. 2 illustrates the association between FEV_{0.5} and all-cause mortality risk across the various subgroups stratified by sex, age, BMI, race, and smoking status. The association between FEV_{0.5} and all-cause mortality risk remained almost consistent even after stratifying the participants into subgroups by sex (male, female), age (20-40, 41-60, 61-80 years), race (Mexican-American, non-Hispanic White, non-Hispanic Black), BMI (normal, overweight, obese), and smoking status (never smoker, former smoker, current smoker). Among the male subgroup, individuals in groups I, II, and III exhibited an increased all-cause mortality risk when compared with individuals in group IV. However, among females, only those in group I demonstrated a significantly elevated risk of all-cause mortality when compared with individuals in group IV. In

the subgroup stratified by other race and in the underweight subgroup, there was no statistically significant difference in the risk of all-cause mortality between individuals in groups I, II, and III and individuals in group IV. In subgroup with FEV₁ > LLN, FVC > LLN, or both FEV₁ and FVC > LLN, individuals in groups I, II, and III had a higher risk of all-cause mortality after adjustment compared with individuals in group IV (Table S1).

Non-Linear Association Between FEV_{0.5} and All-Cause Mortality Risk

Fig. 3 illustrates the non-linear association between FEV_{0.5} and all-cause mortality risk. The association between FEV_{0.5} and all-cause mortality risk manifested as an L-shaped curve. With the exception of the other race subgroup and the underweight sub-

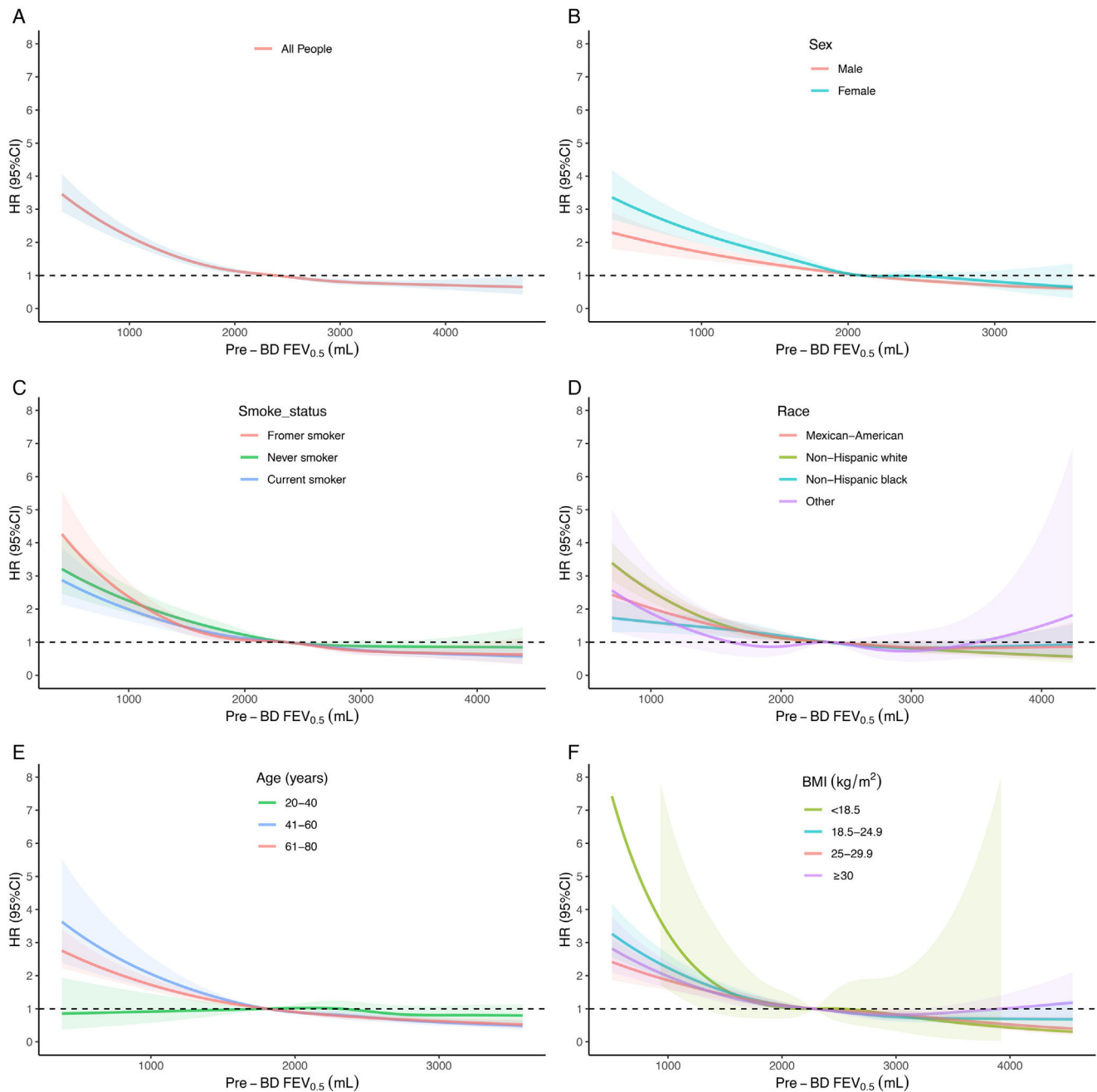


Fig. 3. Nonlinear associations between prebronchodilator forced expiratory volume in 0.5 s and risk of all-cause mortality. Definition of abbreviations: BMI = body mass index; BD = bronchodilator; FEV_{0.5} = forced expiratory volume in 0.5 s. Adjust for age, sex, smoking, body mass index, body surface area, race, educational level, poverty income ratio, and comorbidities. Panel A shows the non-linear relationship between FEV_{0.5} and risk of all-cause mortality in all participants. Panel B shows the non-linear relationship between FEV_{0.5} and the risk of all-cause mortality in the male and female groups. Panel C shows the non-linear relationship between FEV_{0.5} and the risk of all-cause mortality in populations with different smoking status. Panel D shows the non-linear relationship between FEV_{0.5} and risk of all-cause mortality for people of different races. Panel E shows the non-linear relationship between FEV_{0.5} and risk of all-cause mortality in different age groups. Panel F shows the non-linear relationship between FEV_{0.5} and risk of all-cause mortality for people with different BMI.

group, a similar trend was observed in all subgroups stratified by sex, smoking status, age, race, and BMI.

Discussion

In the present study, adults with a lower FEV_{0.5} exhibited an elevated presence of four chronic respiratory symptoms, eight comorbidities, and risk of all-cause mortality. An L-shaped non-linear association was observed between FEV_{0.5} and all-cause mortality risk. The above results were mostly consistent in the subgroup analyses.

The present study builds on past research by extending the association between FEV_{0.5} and respiratory health outcomes to the adult population. The associations between FEV_{0.5} and the presence of non-respiratory diseases and risk of all-cause mortality have also been broadened. This provides a new perspective suggesting that FEV_{0.5} is not only a measure of lung function, but that it may also be a biomarker of overall health.² The analysis results in the subgroups of FEV₁ > LLN, FVC > LLN, and both FEV₁ and FVC > LLN suggest that the clinical implementation of FEV_{0.5} measurement may provide additional prognostic value beyond that of FEV₁ and FVC.

FEV₁ is a useful tool for categorizing the severity of obstructive lung diseases, including asthma and chronic obstructive pulmonary disease. Multiple studies have suggested associations between FEV₁ and a variety of diseases, including congestive heart failure, stroke, asthma, chronic bronchitis, emphysema, cancer, diabetes mellitus, hypertension, chronic cough, chronic phlegm, wheezing, and dyspnea.^{17–26} Some studies have also indicated an association between FEV₁ and all-cause mortality risk.^{27,28} The associations of FEV₁ with these comorbidities and chronic respiratory symptoms remained consistent when FEV_{0.5} was evaluated instead of FEV₁ in the present study.

FEV_{0.5} is defined as the volume of gas expelled from the lungs within half a second of the onset of expiration. FEV_{0.5} provides insight into the patency of the airway during the initial expiration phase. Inflammation or structural alterations to the airway may result in alterations to airflow and a consequent change in FEV_{0.5}. Given that FEV_{0.5} encompasses the initial phase of expiration, it may prove more susceptible than FEV₁ to the effects of inflammation or structural alterations. This is due to the fact that FEV₁ measures expiratory volume over the course of 1 s, which may encompass some degree of expiration driven by alveolar elastic retraction forces. Some studies have demonstrated that FEV₁ remains unimpaired during the initial stages of disease, and it has been observed that the decline in FEV₁ becomes evident several years later, which may mean that opportunities for early intervention are missed.^{29,30} In contrast, FEV_{0.5} is more specifically oriented toward the initial emptying of the airway. Consequently, the reduction in FEV_{0.5} may be more pronounced than the reduction in FEV₁ during the initial stage of airway disease.

Although our study has made several important discoveries, there are also limitations that should be considered. First, given that the NHANES only performed postbronchodilator spirometry measurements in a limited number of individuals with airflow obstruction, therefore the present analysis was based on the pre-bronchodilator spirometry measurements, as these were obtained in the majority of the NHANES population. Some studies have demonstrated that postbronchodilator spirometry is a more accurate predictor of mortality than prebronchodilator spirometry, but the difference between the two is relatively minor.³¹ The association between postbronchodilator FEV_{0.5} and all-cause mortality risk still warrants further investigation. Therefore, we consider that our findings remain meaningful. Second, our study is based on NHANES 1988–1994 and 2007–12, while the standardization of spirometry was published in 2005.⁹ NHANES 1988–1994 lacks data on the sharp initiation of the maneuver such as the back-extrapolated volume or time to PEF. Therefore, the quality of lung function tests in NHANES 1988–94 is determined solely based on the repeatability of FEV₁ and FVC, which may affect the accurate measurement of FEV_{0.5}. Third, we did not conduct further analyses on causes of mortality due to the absence of data on causes of mortality in NHANES 1988–94. Further research is needed to explore the association between FEV_{0.5} and respiratory-related mortality. Fourth, since spirometry measurements were only obtained from the participants on a single occasion during the course of the NHANES, we were unable to examine the impact of FEV_{0.5} decline on respiratory health outcomes. Finally, because the study population was restricted to US adults, our results may not be generalizable to individuals in other countries and regions.

Conclusions

In this study, FEV_{0.5} was inversely associated with the increased risk of all-cause mortality, the presence of comorbidities, and chronic respiratory symptoms in adults.

Authors' Contributions

J.L., R.P., F.W., J.O., Y.Z., and P.R. had full access to all of the data in the study. F.W. and J.L. take responsibility for the integrity of the data and the accuracy of the data analysis. Concept and design – R.P., Y.Z. and F.W. Acquisition, analysis or interpretation of data – J.L., R.P., F.W., J.O. Statistical analysis – J.L. and R.P. Drafting of the manuscript – J.L., F.W., Y.Z., and P.R. Study guarantor – J.L. Critical revision of the manuscript – all authors.

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Conflict of Interest

None.

Acknowledgments

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Appendix A. Supplementary Data

Supplementary data associated with this article can be found in the online version, at [doi:10.1016/j.arbres.2024.12.006](https://doi.org/10.1016/j.arbres.2024.12.006).

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