

ARCHIVOS DE **Bronconeumología**



www.archbronconeumol.org

Original

Markers of Stroma in Lung Cancer: Influence of COPD



Jun Tang^{a,b}, Daniel Ramis-Cabrer^a, Víctor Curull^{a,b}, Mercé Mateu-Jiménez^a, Klara Almagro^a, Xavier Duran^c, Lara Pijuan^d, Alberto Rodríguez-Fuster^e, Rafael Aguiló^e, Esther Barreiro^{a,b,*}

- ^a Pulmonology Department, Muscle Wasting & Cachexia in Chronic Respiratory Diseases & Lung Cancer Research Group, Hospital del Mar-IMIM, Parc de Salut Mar, Health and Experimental Sciences Department (CEXS), Universitat Pompeu Fabra (UPF), Department of Medicine, Universitat Autònoma de Barcelona (UAB), Parc de Recerca Biomèdica de Barcelona (PRBB), Barcelona, Spain
- b Centro de Investigación en Red de Enfermedades Respiratorias (CIBERES), Instituto de Salud Carlos III (ISCIII), Barcelona, Spain
- ^c Scientific and Technical Department, Hospital del Mar-IMIM, Barcelona, Spain
- ^d Pathology Department, Hospital del Mar-IMIM, Parc de Salut Mar, Barcelona, Spain
- e Thoracic Surgery Department, Hospital del Mar-IMIM, Parc de Salut Mar, Barcelona, Spain

ARTICLE INFO

Article history: Received 18 May 2020 Accepted 4 September 2020 Available online 24 September 2020

Keywords: Lung cancer COPD Stroma Cancer-associated fibroblasts Extracellular matrix Endothelial cell marker CD31

ABSTRACT

Background: Stroma, mainly composed by fibroblasts, extracellular matrix (ECM) and vessels, may play a role in tumorigenesis and cancer progression. Chronic Obstructive Pulmonary Disease (COPD) is an independent risk factor for LC. We hypothesized that markers of fibroblasts, ECM and endothelial cells may differ in tumors of LC patients with/without COPD.

Methods: Markers of cultured cancer-associated fibroblasts and normal fibroblasts [CAFs and NFs, respectively, vimentin and alpha-smooth muscle actin (SMA) markers, immunofluorescence in cultured lung fibroblasts], ECM, and endothelial cells (type I collagen and CD31 markers, respectively, immunohistochemistry) were identified in lung tumor and non-tumor specimens (thoracotomy for lung tumor resection) from 15 LC-COPD patients and 15 LC-only patients.

Results: Numbers of CAFs significantly increased, while those of NFs significantly decreased in tumor samples compared to non-tumor specimens of both LC and LC-COPD patients. Endothelial cells (CD31) significantly decreased in tumor samples compared to non-tumor specimens only in LC patients. No significant differences were seen in levels of type I collagen in any samples or study groups.

Conclusions: Vascular endothelial marker CD31 expression was reduced in tumors of non-COPD patients, while type I collagen levels did not differ between groups. A rise in CAFs levels was detected in lung tumors of patients irrespective of airway obstruction. Low levels of CD31 may have implications in the overall survival of LC patients, especially in those without underlying airway obstruction. Identification of CD31 role as a prognostic and therapeutic biomarker in lung tumors of patients with underlying respiratory diseases warrants attention.

© 2020 Published by Elsevier España, S.L.U. on behalf of SEPAR.

Marcadores estromales en el cáncer de pulmón: la influencia de la enfermedad pulmonar obstructiva crónica

RESUMEN

Antecedentes: El estroma, compuesto principalmente por fibroblastos, matriz extracelular (MEC) y vasos, puede desempeñar un papel en la génesis tumoral y la progresión del cáncer. La enfermedad pulmonar obstructiva crónica (EPOC) es un factor de riesgo independiente para el carcinoma de pulmón (CP). Nuestra hipótesis fue que los marcadores de fibroblastos, MEC y células endoteliales pueden variar en los tumores de los pacientes con CP con o sin EPOC.

Palabras clave:
Cáncer de pulmón
EPOC
Estroma
Fibroblastos asociados al cáncer
Matriz extracelular
Marcador de células endoteliales CD31

^{*} Corresponding author at: Corresponding author. Pulmonology Department, Muscle Wasting & Cachexia in Chronic Respiratory Diseases & Lung Cancer Research Group, IMIM, PRBB, C/ Dr. Aiguader, 88, Barcelona, E-08003 Spain, Tel.: ++34) 93 316 0385; fax: +(+34) 93 316 0410..

E-mail address: ebarreiro@imim.es (E. Barreiro).

 $M\acute{e}todos$: Se identificaron los marcadores de fibroblastos asociados al cáncer y los fibroblastos normales cultivados (FAC y FN, respectivamente; marcadores: vimentina y α-actina del músculo liso [SMA por sus siglas en inglés]; inmunofluorescencia en fibroblastos de pulmón cultivados) y marcadores de la MEC y las células endoteliales (marcadores: colágeno tipo I y CD31, respectivamente; inmunohistoquímica) en muestras de pulmón tumoral y no tumoral (toracotomía para resección de tumores pulmonares) de 15 pacientes con EPOC-CP y 15 pacientes con solo CP.

Resultados: El número de FAC aumentó de forma significativa, mientras que el de FN disminuyó significativamente en las muestras tumorales en comparación con las muestras no tumorales de pacientes con CP y EPOC-CP. Las células endoteliales (CD31) disminuyeron también de forma significativa en las muestras tumorales en comparación con las muestras no tumorales solo en los pacientes con CP. No se observaron diferencias significativas en los niveles de colágeno tipo I en ninguna muestra o grupo de estudio. Conclusiones: La expresión del marcador vascular endotelial CD31 se redujo en los tumores de los pacientes sin EPOC, mientras que los niveles de colágeno tipo I no difirieron entre los grupos. Se detectó un aumento en los niveles de FAC en los tumores de pulmón de los pacientes, con independencia de la presencia de obstrucción de las vías respiratorias. Los niveles bajos de CD31 pueden tener implicaciones en la supervivencia general de los pacientes con CP, en especial, en aquellos sin obstrucción subyacente de las vías respiratorias. Convendría estudiar e identificar el papel del CD31 como biomarcador terapéutico y de pronóstico en los tumores de pulmón de pacientes con enfermedades respiratorias subyacentes.

© 2020 Publicado por Elsevier España, S.L.U. en nombre de SEPAR.

Introduction

Despite recent advances, non-small cell lung cancer (NSCLC) still leads to a great mortality in most of the continents 1,2 , reaching up to one third of deaths in certain countries 1,3 . Clinical factors such as chronic obstructive pulmonary disease (COPD) or airway obstruction underlie the pathophysiology of LC in many patients $^{1,4-6}$. Several relevant investigations have clearly demonstrated that airway obstruction and emphysema render the patients more susceptible to the development of LC^{7-9} . Despite this consolidated knowledge, full elucidation of the underlying biological features is still underway.

In the airways, lungs, and blood compartment of patients with LC and underlying COPD, mechanisms such as redox imbalance, inflammatory events, epigenetics, and immune alterations were shown to be disrupted compared to LC patients with no COPD¹⁰. As a result of the interaction of those biological events with key cellular processes, namely angiogenesis, cell death and repair, and the cell survival machinery, COPD patients are more prone to lung tumorigenesis¹¹.

Stroma is defined as the part of a tissue or organ that confers mainly structure with no specific function, and is mainly composed by blood vessels, nerves, and connective tissue. In LC, several components such as extracellular matrix (ECM), endothelial cells, and cancer-associated fibroblasts (CAFs) play a significant role in tumorigenesis and cancer progression¹². CAFs are a major component of the stroma in tumors. Growth factors, hormones, and cytokines mediate the tumor cell proliferation favored by CAFs. The most specific and widely used marker of CAFs is alphasmooth muscle actin (SMA), which is indeed a specific marker of myofibroblasts¹². The differentiation process of epithelial cells into mesenchymal cells is known as epithelial-mesenchymal transition (EMT), characterized by the appearance of mesenchymal properties^{13,14}. Interestingly, CAFs may also regulate EMT ¹³.

Extracellular macromolecules such as collagen, enzymes, and glycoproteins conform a specific network of the ECM, which is also involved in tumor development and progression¹⁵. In cancer stroma, collagen was demonstrated to be the most abundant protein¹⁶. Importantly, type I collagen promotes growth of cancer cells, invasion, and distant metastasis, thus favoring tumor progression¹⁷, as well as resistance to therapy¹⁸. Whether a distinct expression of extracellular matrix markers or CAFs may take place in the stroma of lung tumor samples of patients with COPD remains to be answered.

The formation of new vessels in tumors can be identified using specific markers such as platelet endothelial cell adhesion molecule also known as cluster of differentiation (CD) 31. CD31 is involved in several physiological processes, namely maintenance of vascular endothelial and inflammatory cell functions and is also expressed in tumor cells¹⁹. In fact, the immunohistochemical measurement of CD31 expression can be reliably used as a marker of neoangiogenesis in tumors²⁰. In mice with experimental airway inflammation mimicking COPD, an immunosuppressive microenvironment of the lung tumors was characterized by increased angiogenesis²¹. Whether differences in CD31 expression may exist in tumors of patients with COPD compared to non-COPD remain to be identified.

On this basis, we hypothesized that in LC patients with airway obstruction, cancer stroma as analyzed using specific markers may differ from tumors of patients with no underlying COPD. Accordingly, our objectives were to determine in lung tumors and non-tumor specimens (control samples) of LC patients with and without COPD the following parameters: 1) CAFs and non-tumor fibroblasts (cultured fibroblasts), 2) type I collagen as a marker of extracellular matrix, and 3) CD31 expression levels as a marker of endothelial cells and blood vessels.

Methods

Study design and ethics

This is a cross-sectional, prospective study designed following the World Medical Association guidelines (Seventh revision of the Declaration of Helsinki, Fortaleza, Brazil, 2013)²² for research on human beings and was approved by the institutional Ethics Committee on Human Investigation (protocol # 2008/3390/I, Hospital del Mar–IMIM, Barcelona, Spain). All patients invited to participate in the study signed the informed written consent. The current investigation followed the international STROBE guidelines²³.

Patients were prospectively recruited from the Lung Cancer Clinic of the Respiratory Medicine Department at *Hospital del Mar* (Barcelona, Spain). All the patients were part of the *Lung Cancer Mar Cohort*. For this observational study, 30 patients with LC were recruited in 2019. Candidates for tumor resection underwent pulmonary surgery prior to administration of any sort of adjuvant therapy. LC diagnosis and staging were established by histological confirmation and classified according to currently available guidelines for the diagnosis and management of LC^{24,25}. TNM (tumor,

node, and metastasis) staging was defined as stated in the 8th edition Lung Cancer Stage Classification²⁶. COPD diagnosis was established as a post-bronchodilator forced expiratory volume in one second (FEV1)/forced vital capacity (FVC) ≤ 0.7 which is not fully reversible by spirometry according to currently available guidelines for diagnosis and management of COPD^{27,28}. Exclusion criteria were: small cell lung cancer (SCLC), chronic cardiovascular disease, restrictive lung disease, metabolic, immune disease, or clot system disorders, signs of severe inflammation and/or bronchial infection (bronchoscopy), current or recent invasive mechanical ventilation, or long-term oxygen therapy.

Specimens from the tumor and non-tumor lungs were collected from all the study subjects. Patients were further subdivided *post-hoc* into two groups on the basis of underlying COPD: 1) 15 patients with LC and COPD (LC-COPD group) and 2) 15 patients with LC without COPD (LC group).

Clinical assessment

In all patients, lung function parameters were assessed following standard procedures. Diagnosis and severity of patients with COPD were determined according to currently available guidelines⁶. Nutritional evaluation included the assessment of body mass index (BMI) and nutritional blood parameters from all patients.

Sample collection and preservation

Lung samples were obtained from tumors and the surrounding non-tumor parenchyma following standard technical procedures during thoracotomy for the standard care in the treatment of lung tumors. In all patients, the expert pulmonary pathologist selected tumor and non-tumor lung specimens of approximately $10x10 \, \text{mm}^2$ area from the fresh samples as previously validated 4,29 . Non-tumor specimens were collected as far as possible from the lung to the tumor resection margins (average >7 cm). Fragments of both tumor and non-tumor specimens were fixed in formalin and embedded in paraffin blocks until further use. Another fragment was harvested in Dulbecco's Modified Eagle Medium (DMEM) with 1% of penicillin, streptomycin, and fungiozone for the cell culture process.

Cell culture

Fresh human tumor and non-tumor lung samples were placed in Dulbecco's Modified Eagle Medium (DMEM) with 1% of penicillin, streptomycin, and fungiozone immediately after obtaining lung specimens and transported on ice to the molecular laboratory. Tumor and non-tumor specimens were minced finely and digested in 1% collagenase type I (Sigma-Aldrich, St. Louis, MO) at 37 °C for two hours with occasional agitation. Then the digested tissue was centrifuged at 1,200 rpm for two minutes. Cell suspensions were cultured on culture plates in proliferation medium consisting of the mixture of DMEM-medium, 10% fetal bovine serum, and 1% penicillin-streptomycin-fungizone solution at 37 °C in a 5% CO2 atmosphere. The culture medium was changed after 48 hours to remove unattached cells and debris in suspension. Cells were subcultured with 0.025% trypsin (Life Technologies, California, USA) and 0.01% EDTA when they reached 50-80% confluence for ten minutes. All the study experiments were performed on the cultured cells between passages 1 and 2 of the primary cultures to perform immunofluorescence as described below.

Immunoflorescence staining of CAFs and NFs

CAFs and NFs were identified by analyzing the fibroblast-specific protein vimentin and alpha-SMA (CAFs). Briefly, cells were fixed with acetone and methanol (1:1) on the slides at -20 °C for ten minutes, and were then washed with PBS three times. Subsequently, slides were incubated with blocking solution (50 mM Tris with PH = 7.5, 150 Mm NaCl, 0.01% Triton, 1% bovine serum albumin and 1% skimmed milk powder) for one hour at room temperature in a humidifed chamber. Subsequently, primary antibodies incubation with anti-alpha SMA antibody (anti-alpha-SMA antibody, Santa Cruz) and anti-vimentin antibody (anti-vimentin antibody, Santa Cruz) was performed overnight at 4 °C in the chamber. After washing with PBS three times, slides were incubated with corresponding secondary antibodies diluted in PBS for one hour: anti-mouse IgG FITC (Invitrogen, Thermo Fisher Scientific) and anti-rabbit IgG A647 (Invitrogen, Thermo Fisher Scientific) at room temperature. Finally, the sections were mounted using the fluorescent mounting medium 4',6-diamidino-2-phenylindole (DAPI) G-Fluoromount medium (Southern Biotech, Birmingham, AL, USA), which specifically stained DNA (allowing identification of all nuclei) in the cell sections. A fluorescence microscope (x 40 objectives, Nikon Eclipse Ni, Nikon, Tokyo, Japan) coupled with a digitizing camera was used to identify and count the number of fibroblasts (30 fields) in each study sample. Results were expressed as the percentage of either both alpha-SMA and vimentin positively stained fibroblasts for identification of CAFs or vimentin-only positively stained for detection of NFs to the total number of counted fibroblasts in the 30 fields. Results are reported separately for both CAFs and NFs in each type of lung specimen and patient group.

Markers of ECM and endothelial cells using immunohistochemistry

Type I collagen and endothelial cells were identified on threemicrometer lung tumor and non-tumor cross-sections using immunohistochemical procedures as previously described 10,29. Following deparaffinization, lung cross-sections were immersed in preheated antigen retrieval solution of ethylenediaminetetraacetic acid (EDTA, pH 9), incubated at 95 °C for 40 minutes to be then cooled down to room temperature. Slides were washed over the following steps with phosphate buffer saline (PBS). Endogenous peroxidase activity was blocked with 6% hydrogen peroxide for 15 minutes. Primary antibody incubation with anti-collagen I antibody (anti-collagen I antibody, Abcam, Cambridge, UK) and anti-CD31antibody (anti-CD31 antibody, Abcam, Cambridge, UK) was performed for one hour. Slides were incubated with biotinylated universal secondary antibody for 30 minutes followed by a 30-minute incubation with HRP-streptavidin and diaminobenzidine for five minutes (kit LSAB+HRP Dako Cytomation Inc., Carpinteria, CA, USA) as a substrate. Hematoxylin counterstaining was performed for two minutes and slides were dehydrated and mounted for conventional microscopy. Images of the stained lung sections (tumor and non-tumor) were captured with a light microscope (Olympus, Series BX50F3, Olympus Optical Co., Hamburg, Germany) coupled with an image-digitizing camera (Pixera Studio, version 1.0.4, Pixera Corporation, Los Gatos, CA, USA).

Expression of the markers collagen and CD31 was estimated as the percentage of type I collagen and CD31 using the semiquantitative immunohistochemical scoring system (Hscore) according to methodologies previously published³⁰. Type I collagen and CD31 staining in the tumor and non-tumor specimens was established according to the following categories: Hscore 0 (indicated the absence of staining) and Hscore 1 (indicated the presence of staining). Data are shown as the percentage of both positively and

negatively stained structures for all the histological sections in both tumor and non-tumor lung specimens.

Statistical analyses

The normality of the study variables was tested using the Shapiro-Wilk test. The marker CD31 was used to estimate sample size. For the one-way analysis of variance (ANOVA) of one factor, considering the between-group variance to be 10486.7 and the within-group error equal to 3160.9, a minimum of 12 patients (24 patients in total) per type of sample (tumor and non-tumor) sufficed to reach an 80% power given an alpha error of 0.05. The software Stata/MP release 15 (StataCorp LLC, College Station, Texas, USA) was used for sample size calculation. Clinical variables are shown in a Table. Qualitative variables are represented as frequencies (number and percentage), while quantitative variables are shown as mean and standard deviations. Differences in clinical variables between LC and LC-COPD groups of patients were assessed using Student's T-test. Differences among the different biological variables were estimated using ANOVA and Tukey's posthoc to adjust for multiple comparisons for the two sample types (tumor and non-tumor) and the two patient groups. A subanalysis in which only ex-smokers and non-smokers was conducted. Moreover, one-way covariance (ANCOVA) was also used to adjust for cigarette smoking history in the analyses of all the biological. Statistical significance was established at P < 0.05. All statistical analyses were conducted using the software Statistical Package for the Social Science (SPSS, version 23, SPSS Inc., Chicago, IL, USA).

Results

Clinical characteristics

Clinical and functional characteristics of LC and LC-COPD patients are shown in Table 1. Age, sex, or BMI did not significantly differ between the two groups of patients. Ex-smokers and the number of pack-years were significantly greater in LC-COPD patients compared to LC patients, while the number of never smokers was significantly greater in the latter group (Table 1). The lung functional parameters FEV₁, FEV₁/FVC, DL_{CO} and K_{CO} were significantly lower in LC-COPD than in LC patients (Table 1). Most of the patients were in GOLD stages I and II (93%, Table 1). TNM staging or histological subtypes did not significantly differ between the two groups. In LC-COPD compared to LC patients, the levels of total leukocytes and neutrophils significantly increased, while levels of albumin significantly decreased. Total proteins, fibrinogen, C-reactive protein, globular sedimentation velocity, and body weight loss did not differ between LC-COPD and LC patients.

Levels of CAFs increased in tumor specimens

Compared to non-tumor lungs, levels of alpha-SMA significantly increased in tumor specimens both in LC and LC-COPD patients, while levels of vimentin significantly decreased in tumor samples in both groups of patients (Figure 1 and Figure 2).

Levels of the fibroblast markers alpha-SMA (marker of CAFs) and vimentin (marker of NFs) did not significantly differ in either tumor or non-tumor specimens between LC-COPD and LC patients (Figure 1 and Figure 2).

The subanalysis of the patients according to either GOLD stages or cigarette smoking history revealed identical results to those shown when the entire population was analyzed as a whole (data not shown).

Table 1Clinical and functional characteristics of the study patients.

Anthropometric variables	LC (N = 15)	LC-COPD (N = 15)
Age, years	67 (10)	67 (8)
Male, N / Female, N	8/7	12 / 3
BMI, kg/m ²	27 (5)	26 (4)
Constitution bioteoms	. ,	• ,
Smoking history	0.53	0.53
Current: N, %	8, 53	8, 53
Ex-smoker: N, %	0, 0	7, 47***
Never smoker: N, %	7, 47	0, 0***
Pack-years	24 (18)	56 (25)**
Lung function parameters		
FEV ₁ ,%	89 (11)	67 (14)***
FEV ₁ /FVC, %	76 (5)	59 (9)***
DLCO, %	84 (11)	60 (15)***
KCO, %	85 (11)	59 (15)***
GOLD stage		
GOLD Stage I: N, %	NA	2, 13
GOLD Stage II: N, %	NA NA	12, 80
GOLD Stage III: N, %	NA NA	1,7
GOLD Stage III. N, % GOLD Stage IV: N, %	NA NA	0, 0
GOLD Stage IV. N, %	INA	0, 0
TNM staging		
Stage 0 + I: N, %	8, 53	8, 53
Stage II + III: N, %	7, 47	7, 47
Stage IV: N, %	0, 0	0, 0
Histological diagnosis		
Squamous cell carcinoma: N, %	3, 20.0	4, 26.6
Adenocarcinoma: N, %	10, 66.7	10, 66.7
Others: N, %	2, 13.3	1, 6.7
·	2, 13.3	1, 0
Blood parameters		
Total leucocytes/μL	$6.46(1.29) \times 10^3$	$8.88(1.84) \times 10^{3***}$
Total neutrophils/μL	$4.01(1.22) \times 10^3$	$5.88(1.74) \times 10^{3*}$
Total lymphocytes/µL	$1.89(0.55) \times 10^3$	$2.06(0.81) \times 10^3$
Albumin (g/dL)	4.4 (0.22)	4.0 (0.60)*
Total proteins (g/dL)	6.9 (0.50)	6.4 (0.74)
Fibrinogen (mg/dL)	441 (160)	416 (58)
CRP (mg/dL)	3.03 (5.85)	6.63 (8.61)
GSV (mm/h)	11 (9)	23 (20)
Body weight loss, kg		
0, N, %	14, 93.3	14, 93.3
1-5, N, %	0, 0	0, 0
6-10, N, %	1, 6.7	1, 6.7

Continuous variables are presented as mean (standard deviation) while categorical variables are presented as the number of patients in each group and the percentage in the study group total population. *Definition of abbreviations*: N, number; kg, kilograms; m, metres; BMI, body mass index; FEV₁, forced expiratory volume in one second; FVC, forced vital capacity; DL_{CO}, carbon monoxide transfer; K_{CO}, Krogh transfer factor; GOLD: Global initiative for Chronic Obstructive Lung Disease; NA, not applicable; TNM, tumor, nodes, metastasis; CRP, C-reactive protein; GSV, globular sedimentation velocity; L, liter. Statistical analyses and significance: * p < 0.05, *** p < 0.001 between LC-COPD patients and LC patients.

Markers of collagen and endothelial cells in lung specimens

Levels of the ECM marker type I collagen and those of the endothelial marker CD31 did not significantly differ in either tumor or non-tumor lungs between the two patient groups (Figures 3 and 4, respectively).

Levels of type I collagen did not differ between tumor and non-tumor samples in any study groups of patients (Figure 3). Importantly, in LC patients, levels of Hscore 1 (presence of staining) of CD31 significantly declined in tumor specimens compared to non-tumor samples, whereas those of Hscore 0 (absence of staning) increased (Figure 4). In LC-COPD, no significant differences were seen in CD31 marker leves between tumor and non-tumor samples (Figure 4).

The subanalysis of the patients according to either GOLD stages or cigarette smoking history revealed identical results to those

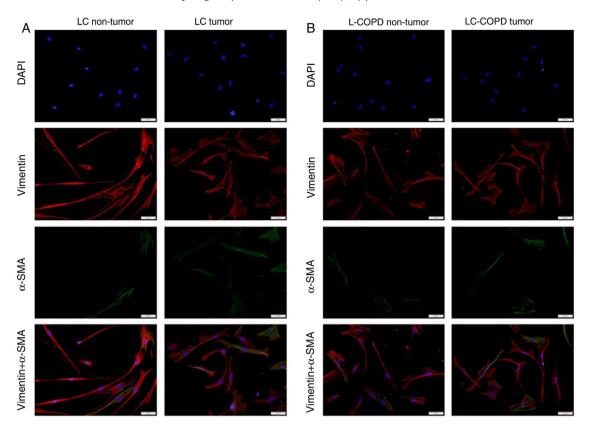


Figure 1. A and B: Representative examples of immunofluorescence staining of the markers DAPI (upper panel), vimentin (upper middle panel), alpha-SMA (lower middle panel), and CAFs (positively stained for both vimentin and alpha-SMA, bottom panel) in cultured fibroblasts obtained from non-tumor and tumor specimens of LC and LC-COPD patients. Definition of abbreviations: DAPI, 4', 6-diamidino-2-phenylindole; alpha-SMA, alpha-smooth muscle actin; CAFs, cancer-associated fibroblasts; LC, lung cancer; COPD, chronic obstructive pulmonary disease.

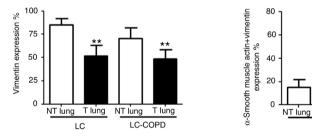


Figure 2. A and B: Mean values and standard deviations (SD) of levels of the markers vimentin and vimentin and alpha-SMA as measured by percentage of the total fibroblasts. Definition of abbreviations: alpha-SMA, alpha-smooth muscle actin; LC, lung cancer; COPD, chronic obstructive pulmonary disease. Statistical analyses: **, $p \le 0.01$ between tumor (T) and non-tumor (NT) lungs in both LC and LC-COPD patients.

shown when the entire population was analyzed as a whole (data not shown).

Discussion

In the current investigation, the main findings were that levels of the endothelial marker CD31 significantly decreased in tumors of LC patients, but not in tumors of patients with airway obstruction. In both groups of patients, a rise in the expression of CAFs was seen in lung tumors. Levels of type I collagen in tumor and non-tumor lungs did not differ between patient groups. The most relevant findings collected in the study are discussed below.

CAFs play a crucial role in cancer cell invasion through several mechanisms³¹. Vimentin, which is expressed in normal mesenchymal cells, maintains cellular integrity and provides resistance against stress. Its function has also been proposed in different cancer cell types including LC³². In the present investigation, the expression of CAFs was significantly greater in the tumor

specimens in both groups of LC patients with and without COPD. No significant differences in the levels of cultured CAFs in tumor lungs were seen between the study groups of patients. These findings suggest that CAFs are similarly expressed in lung tumors regardless of underlying airway obstruction. They also imply that fibroblasts are not likely to be involved in an accelerated process of cancer invasion and progression in patients with airway obstruction. Conversely, the percentage of fibroblasts-expressing vimentinonly was significantly reduced in the tumors of both groups of patients. These results also reinforce the concept that CAFs are likely to be a predominant feature of the stroma in lung tumor progression in the patients regardless of the presence of airway obstruction.

T lung

NT lung

LC-COPD

Whether a similar profile of CAFs expression can be detected in lung tumors of patients with other underlying respiratory diseases remains to be elucidated. In idiopathic pulmonary fibrosis, myofibroblasts are persistently activated, which secrete collagen type I, and express alpha-SMA fibers, thus they may favor lung

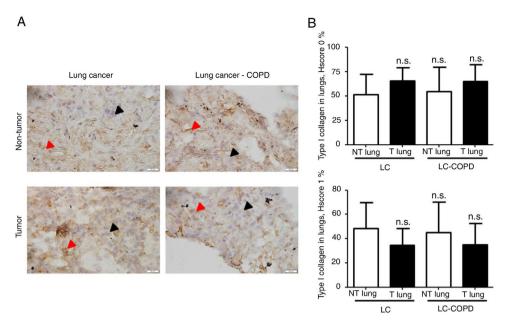


Figure 3. A) Representative examples of immunohistochemical staining for type I collagen in tumor and non-tumor specimens (collagen I-positively stained) in LC and LC-COPD patients, respectively. Black arrows point towards areas stained in blue with hematoxylin (negatively-stained for collagen), while red arrows point towards positively-stained areas (brown color). **B)** Mean and standard deviations (SD) of levels of type I collagen in tumor and non-tumor of both groups as measured using histoscores (see Methods). Definition of abbreviations: Hscore, histochemical score; LC, lung cancer; COPD, chronic obstructive pulmonary disease. Statistical analyses: *n.s.*, no significance between tumor (T) and non-tumor (NT) lungs in either LC or LC-COPD patients.

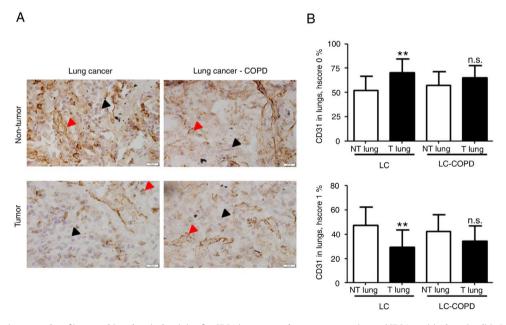


Figure 4. A) Representative examples of immunohistochemical staining for CD31 in tumor and non-tumor specimens (CD31-positively stained) in LC and LC-COPD patients, respectively. Black arrows point towards areas stained in blue with hematoxylin (negatively-stained for CD31), while red arrows point towards positively-stained areas (brown color). B) Mean and standard deviations (SD) of levels of CD31 in tumor and non-tumor of both groups as measured using specific histoscores (see Methods). Definition of abbreviations: Hscore, histochemical score; LC, lung cancer; COPD, chronic obstructive pulmonary disease. Statistical analyses: **, $p \le 0.01$ between tumor (T) and non-tumor (NT) lungs in LC patients; n.s., no significance between tumor (T) and non-tumor (NT) lungs in LC-COPD patients.

tumorigenesis³⁵. Conversely, in patients with non-cystic fibrosis bronchiectasis, a lower or no risk of LC was demonstrated^{33,34}.

Activated myofibroblasts synthesize extracellular components that contribute to the remodeling of the ECM taking place during carcinogenesis. As such CAFs secrete type I collagen, which plays an important role in tumor development, growth, and epithelial-mesenchymal transition³⁶. Moreover, overall survival correlated with low levels of expression of type I collagen and cancer cell differentiation³⁶. In the present study, expression levels of collagen did not significantly differ between tumor and non-tumor samples

or between the study groups. These findings suggest that collagen was not a major driver in lung tumor development in these patients, probably because well-differentiated tumor types were analyzed in the study.

CD31 is a glycoprotein expressed in endothelial cells, leukocytes, T cells, and platelets²⁰. CD31 is also expressed in lung tumors³⁷. In the current investigation, a significant decline in CD31 expression levels (Hscore 1) was detected in the tumor specimens of patients with LC, while in patients with underlying airway obstruction no significant differences were seen between lung tumor and

non-tumor samples. These findings imply that the vascular endothelial component of stroma was probably involved in the prognosis of LC in patients with and without COPD. In fact, 47% of LC-COPD and 80% of LC patients are still alive in this series (10-year follow-up, data not shown). In keeping with, CD31 has proven to be a useful marker to evaluate angiogenesis in lung tumors³⁸ as well as to monitor the response to specific anti-angiogenic molecules such as vascular endothelial growth factor (VEGF) in clinical settings^{38,39}. In this regard, several investigations have demonstrated that VEGF inhibitors, through reduced angiogenesis (CD31 marker among others), are currently prescribed as single agents in the third-line treatment of patients with NSCLC^{38,39}.

Study limitations

A limitation in the study was the relatively reduced number of analyzed patients. Nonetheless, calculations of sample size estimated 12 patients in each group (24 in total), thus the number of patients included was sufficient to detect statistically significant differences in the study. The degree of airway obstruction might have influenced the study results. However, as most of the patients were in GOLD stages I and particularly II, COPD severity did not exert any significant impact on the results. Almost half of the patients were non-smokers, thus cigarette smoking might have influenced the study results. Nevertheless, a subanalysis in which non-smokers and ex-smokers were included revealed identical results to those obtained with the entire population.

If non-tumor samples had been obtained from a closer distance from the tumors, the profile of biological events might have differed as shown previously for other components of the extracellular matrix (integrins) that probably play a significant role in recurrence⁴⁰. Nonetheless, this was not explored in the present study, and warrants further attention.

Conclusions

Within the stroma, the expression of the vascular endothelial marker CD31 was reduced in tumors of patients without airway obstruction, while expression levels of the ECM component type I collagen did not differ between patient groups. A rise in the levels of CAFs was detected in the lung tumors of patients irrespective of underlying airway obstruction.

Low levels of CD31 may have implications in the overall survival of LC patients, especially in those without underlying airway obstruction. Investigations aiming to decipher the specific role of CD31 as a predictor of survival and as a biomarker to monitor anti-angiogenic agents in lung tumors of patients with underlying respiratory diseases are warranted.

Authors' contributions

Study conception and design: EB, VC; Patient assessment and recruitment: JT, VC, DRC, MMJ, ARF, RA, LP; Molecular biology analyses: JT, DRC, KA; Statistical analyses and data interpretation: XD, JT, DRC, EB; Manuscript drafting and intellectual input: EB, JT; Manuscript writing final version: EB.

Sources of funding

This study has been supported by FIS 18/00075 (FEDER, ISC-III) & CIBERES (ISC-III), SEPAR 2018 and SEPAR 2020, and an unrestricted research grant from Menarini SA 2018 (Spain).

Competing interests declared by all the authors

None.

Acknowledgments

The authors are thankful to Ms. Mireia Admetlló and Esmeralda Hernández for their help with the patient clinical assessment.

References

- Ferlay J, Shin HR, Bray F, Forman D, Mathers C, Parkin DM. Estimates of worldwide burden of cancer in 2008: GLOBOCAN 2008. Int J Cancer. 2010:127:2893–917. http://dx.doi.org/10.1002/jic.25516.
- Travier N, Fu M, Romaguera A, et al. 6-Year Risk of Developing Lung Cancer in Spain: Analysis by Autonomous Communities. Arch Bronconeumol. 2020, http://dx.doi.org/10.1016/j.arbres.2020.03.022.
- Siegel RL, Miller KD, Jemal A. Cancer statistics, 2019. CA Cancer J Clin. 2019;69:7–34, http://dx.doi.org/10.3322/caac.21551.
 Mateu-Jimenez M, Curull V, Rodríguez-Fuster A, et al. Profile of
- Mateu-Jimenez M, Curull V, Rodríguez-Fuster A, et al. Profile of epigenetic mechanisms in lung tumors of patients with underlying chronic respiratory conditions. Clin Epigenetics. 2018;10(1.), http://dx.doi.org/10.1186/s13148-017-0437-0.
- Uk Lim J, Yeo CD, Rhee CK, et al. Comparison of clinical characteristics and overall survival between spirometrically diagnosed chronic obstructive pulmonary disease (COPD) and non-COPD never-smoking stage I-IV non-small cell lung cancer patients. Int J COPD. 2019;14:929–38, http://dx.doi.org/10.2147/COPD.S190244.
- Soler-Cataluña JJ, Novella L, Soler C, et al. Clinical Characteristics and Risk of Exacerbations Associated With Different Diagnostic Criteria of Asthma-COPD Overlap. Arch Bronconeumol. 2019, http://dx.doi.org/10.1016/j.arbres.2019.08.023.
- Yong PC, Sigel K, De-Torres JP, et al. The effect of radiographic emphysema in assessing lung cancer risk. Thorax. 2019;74:858–64, http://dx.doi.org/10.1136/thoraxjnl-2018-212457.
- Lung Can-8. Seijo LM, Trujillo IC, Zulueta JJ. Screening in The Latest Evidence. Arch Bronconeumol. 2020:56:7-8. cer: http://dx.doi.org/10.1016/j.arbres.2019.04.019.
- González-Marrón A, Martín-Sánchez JC, Garcia-Alemany F, et al. Estimation of the Risk of Lung Cancer in Women Participating in a Population-Based Breast Cancer Screening Program. Arch Bronconeumol. 2019, http://dx.doi.org/10.1016/j.arbres.2019.04.014.
- Mateu-Jimenez M, Curull V, Pijuan L, et al. Systemic and Tumor Th1 and Th2 Inflammatory Profile and Macrophages in Lung Cancer: Influence of Underlying Chronic Respiratory Disease. J Thorac Oncol. 2017;12(2.), http://dx.doi.org/10.1016/j.jtho.2016.09.137.
- Chacon-Cabrera A, Mateu-Jimenez M, Langohr K, et al. Role of PARP activity in lung cancer-induced cachexia: Effects on muscle oxidative stress, proteolysis, anabolic markers, and phenotype. J Cell Physiol. 2017;232:3744–61, http://dx.doi.org/10.1002/jcp.25851.
- 12. Pietras K, Östman A. Hallmarks of cancer: Interactions with the tumor stroma. Exp Cell Res. 2010;316:1324–31, http://dx.doi.org/10.1016/j.yexcr.2010.02.045.
- 13. Tse JC, Kalluri R. Mechanisms of metastasis: Epithelial-to-mesenchymal transition and contribution of tumor microenvironment. J Cell Biochem. 2007;101:816–29, http://dx.doi.org/10.1002/jcb.21215.
- Thiery JP, Sleeman JP. Complex networks orchestrate epithelial-mesenchymal transitions. Nat Rev Mol Cell Biol. 2006;7:131–42, http://dx.doi.org/10.1038/nrm1835.
- Ishii G, Ochiai A, Neri S. Phenotypic and functional heterogeneity of cancerassociated fibroblast within the tumor microenvironment. Adv Drug Deliv Rev. 2016;99:186–96, http://dx.doi.org/10.1016/j.addr.2015.07.007.
- Kakkad SM, Solaiyappan M, O'Rourke B, et al. Hypoxic tumor microenvironments reduce collagen I fiber density. Neoplasia. 2010;12:608–17, http://dx.doi.org/10.1593/neo.10344.
- Provenzano PP, Inman DR, Eliceiri KW, et al. Collagen density promotes mammary tumor initiation and progression. BMC Med. 2008:6, http://dx.doi.org/10.1186/1741-7015-6-11.
- Kanda R, Kawahara A, Watari K, et al. Erlotinib resistance in lung cancer cells mediated by integrin β1/Src/Akt-driven bypass signaling. Cancer Res. 2013;73:6243–53, http://dx.doi.org/10.1158/0008-5472.CAN-12-4502.
- 19. Identification of PECAM-1 in solid tumor cells and its potential involvement in tumor cell adhesion to endothelium. PubMed NCBI.
- DeLisser HM, Christofidou-Solomidou M, Strieter RM, et al. Involvement of endothelial PECAM-1/CD31 in angiogenesis. Am J Pathol. 1997;151:671–7.
- Gong L, da Silva Caetano M, Cumpian AM, et al. Tumor necrosis factor links chronic obstructive pulmonary disease and K-ras mutant lung cancer through induction of an immunosuppressive pro-tumor microenvironment. Oncoimmunology. 2016;5(10.), http://dx.doi.org/10.1080/2162402X. 2016.1229724.
- Shrestha B, Dunn L. The Declaration of Helsinki on Medical Research involving Human Subjects: A Review of Seventh Revision. J Nepal Health Res Counc. 2020;17:548–52, http://dx.doi.org/10.33314/jnhrc.v17i4.1042.
- No I, Background I, Study OM, et al. STROBE statement Checklist of items that should be included in reports of observational studies ((STROBE Initiative). Int J Public Health. 2008;53:3–4, http://dx.doi.org/10.1007/s00038-007-0239-9.

- Slatore CG, Horeweg N, Jett JR, et al. An Official American Thoracic Society research statement: A research framework for pulmonary nodule evaluation and management. Am J Respir Crit Care Med. 2015;192:500–14, http://dx.doi.org/10.1164/rccm.201506-1082ST.
- Kozower BD, Larner JM, Detterbeck FC, Jones DR. Special treatment issues in nonsmall cell lung cancer: Diagnosis and management of lung cancer, 3rd ed: American College of Chest Physicians evidence-based clinical practice guidelines. Chest. 2013;143 5 Suppl:e369S-99S, http://dx.doi.org/10.1378/chest.12-2362.
- 26. Detterbeck FC, Boffa DJ, Kim AW, Tanoue LT. The Eighth Edition Lung Cancer Stage Classification. Chest. 2017;151:193–203, http://dx.doi.org/10.1016/j.chest.2016.10.010.
- Miravitlles M, Soler-Cataluña JJ, Calle M, et al. Spanish Guidelines for Management of Chronic Obstructive Pulmonary Disease (GesEPOC) 2017 Pharmacological Treatment of Stable Phase. Arch Bronconeumol. 2017;53:324–35, http://dx.doi.org/10.1016/j.arbres.2017.03.018.
- Vogelmeier CF, Criner GJ, Martínez FJ, et al. Global Strategy for the Diagnosis Management, and Prevention of Chronic Obstructive Lung Disease 2017 Report: GOLD Executive Summary. Arch Bronconeumol. 2017;53:128–49, http://dx.doi.org/10.1016/j.arbres.2017.02.001.
- Tang J, Ramis-Cabrer D, Curull V, et al. Immune cell subtypes and cytokines in lung tumor microenvironment: Influence of COPD. Cancers (Basel). 2020;12(5.), http://dx.doi.org/10.3390/cancers12051217.
- Salazar-Degracia A, Granado-Martínez P, Millán-Sánchez A, Tang J, Pons-Carreto A, Barreiro E. Reduced lung cancer burden by selective immunomodulators elicits improvements in muscle proteolysis and strength in cachectic mice. J Cell Physiol. 2019;234:18041–52, http://dx.doi.org/10.1002/jcp.28437.
- 31. Xing F, Saidou J, Watabe K. Cancer associated fibroblasts (CAFs) in tumor microenvironment. Front Biosci. 2010;15:166–79, http://dx.doi.org/10.2741/3613.

- Satelli A, Li S. Vimentin in cancer and its potential as a molecular target for cancer therapy. Cell Mol Life Sci. 2011;68:3033–46, http://dx.doi.org/10.1007/s00018-011-0735-1.
- 33. Goldstraw P, Chansky K, Crowley J, et al. The IASLC lung cancer staging project: Proposals for revision of the TNM stage groupings in the forthcoming (eighth) edition of the TNM Classification for lung cancer. J Thorac Oncol. 2016;11:39–51, http://dx.doi.org/10.1016/j.jtho.2015.09.009.
- 34. Abad MSC, Sanchez-Salcedo P, De-Torres JP, et al. Prevalence and burden of bronchiectasis in a lung cancer screening program. PLoS One. 2020;15(4.), http://dx.doi.org/10.1371/journal.pone.0231204.
- Ballester B, Milara J, Cortijo J. Idiopathic pulmonary fibrosis and lung cancer: Mechanisms and molecular targets. Int J Mol Sci. 2019;20(3.), http://dx.doi.org/10.3390/ijms20030593.
- 36. Fang S, Dai Y, Mei Y, et al. Clinical significance and biological role of cancerderived Type I collagen in lung and esophageal cancers. Thorac Cancer. 2019;10:277–88, http://dx.doi.org/10.1111/1759-7714.12947.
- Koukourakis MI, Giatromanolaki A, Thorpe PE, et al. Vascular Endothelial Growth Factor/KDR Activated Microvessel Density versus CD31 Standard Microvessel Density in Non-Small Cell Lung Cancer 1. 2000;Vol 60.
- Liu Z, Wang J, Meng Z, et al. CD31-labeled circulating endothelial cells as predictor in anlotinib-treated non-small-cell lung cancer: Analysis on ALTER-0303 study. Cancer Med. 2018;7:3011–21, http://dx.doi.org/10.1002/cam4.1584.
- 39. Shen G, Zheng F, Ren D, et al. Anlotinib: A novel multi-targeting tyrosine kinase inhibitor in clinical development 11 Medical and Health Sciences 1112 Oncology and Carcinogenesis. J Hematol Oncol. 2018;11:1–11, http://dx.doi.org/10.1186/s13045-018-0664-7.
- Ando T, Kage H, Matsumoto Y, et al. Integrin (11 in non-small cell lung cancer is associated with tumor progression and postoperative recurrence. Cancer Sci. 2020;111:200–8, http://dx.doi.org/10.1111/cas.14257.