Original Article

Physiotherapy Intervention for Preventing the Respiratory Muscle Deterioration in Institutionalized Older Women With Functional Impairment

Maria dels Àngels Cebrià i Iranzo, a,∗ David Alan Arnall, b Celedonia Igual Camacho, a José Manuel Tomás, c Juan Carlos Meléndez d

a Departamento de Fisioterapia, Universidad de Valencia, Valencia, Spain
b Departamento de Fisioterapia, East Tennessee State University, TN, United States
c Departamento de Metodología de las Ciencias del Comportamiento, Universidad de Valencia, Valencia, Spain
d Departamento de Psicología Evolutiva y de la Educación, Universidad de Valencia, Valencia, Spain

A R T I C L E   I N F O

Article history:
Received 27 April 2012
Accepted 31 July 2012
Available online 8 December 2012

Keywords:
Elderly
Functional impairment
Institutionalization
Muscle weakness
Respiratory muscle training
Women

A B S T R A C T

Introduction: In elderly seniors (>80 years), respiratory function may be compromised when, in addition to the presence of comorbidity and loss of mobility, there is also reduced respiratory muscle (RM) strength. The literature has shown that RM training could be an effective method to improve RM function and prevent clinical deterioration, particularly in population with RM weakness. The main purpose of this paper was to assess the effectiveness of RM training on the respiratory muscle strength and endurance of institutionalized elderly women with functional impairment.

Method: Fifty-four residents (mean=85 years, SD=6.7) were randomly assigned to either a control (n=27) or training (n=27) group. A supervised training program was developed with Threshold® IMT, five times per week for 6-weeks. The main variables of the intervention were: maximum inspiratory pressure (PImax), maximum expiratory pressure (PEmax) and maximal voluntary ventilation (MVV), all of which were measured at weeks 0, 4, 7 and 10.

Results: Statistical analysis revealed no significant differences in PImax (F3,114=1.04, P<0.368, R²=0.027), PEmax (F3,114=1.86, P<0.14, R²=0.047) and MVV (F3,114=1.74, P<0.162, R²=0.044) between the two groups after the intervention. However, the workload significantly improved with the training sessions (F5,100=72.031, P<.001, R²=0.791).

Conclusion: In a 6-week interval-based training program, the threshold loading device does not significantly improve parameters related to RM strength and endurance of the study population.

© 2012 SEPAR. Published by Elsevier España, S.L. All rights reserved.

Intervención fisioterápica preventiva del deterioro de la musculatura respiratoria en ancianas institucionalizadas con limitación funcional

R E S Ü M E N

Introducción: En el anciano de edad avanzada (>80 años) la función respiratoria puede verse afectada cuando a la presencia de comorbilidad y la pérdida de movilidad se suma el descenso de la fuerza de la musculatura respiratoria (MR). La literatura médica ha mostrado que el entrenamiento de la MR puede ser una intervención efectiva para mejorar la funcionalidad y prevenir el deterioro clínico, especialmente en la población con debilidad de la MR.

El objetivo del estudio fue evaluar la efectividad del entrenamiento de la MR en la fuerza y resistencia de esta musculatura, en ancianas institucionalizadas con limitación funcional.

© 2012 SEPAR. Published by Elsevier España, S.L. All rights reserved.


∗ Corresponding author.
E-mail address: angeles.cebrvia@uv.es (MÀ. Cebrià i Iranzo).

1579-2129/5 – see front matter © 2012 SEPAR. Published by Elsevier España, S.L. All rights reserved.
Introducción

Uno de los aspectos más importantes de los cambios en la función respiratoria que acompañan a la edad es la disminución del estímulo enfermero (RM) y resistencia, asociada con el desgaste muscular. En los ancianos mayores (>80 años), la sarcopenia, así como la presencia de otras enfermedades (comorbilidades) pueden causar disfunción física, disfunción en la mayoría de las actividades diarias (ADL). Además, la RM y la resistencia están relacionadas con la habilidad para moverse libremente y realizar actividades de vida diaria (LVD).1,2 En consecuencia, la RM es un indicador fisiológico de la capacidad para evitar la disminución en la población más vulnerable de ancianos. Recientemente se han estudiado las ventajas de la RM en la preparación de la actividad física, y en general, en la población con RM débil.9,10 que son características que definen la población que es el objeto de este estudio.

Para evaluar la RM, se han desarrollado programas que utilizan las maniobras inspiratorias de mayor esfuerzo (FIMT). Gosselink ha señalado que los más beneficiosos son los que tienen un objetivo específico basado en la RM, en personas mayores y con enfermedades respiratorias.6,9,11

Método: Se asignaron aleatoriamente 54 residentes con limitación para desambular (edad 85 años, DE 6.7) a un grupo control (n = 27) y entrenado (n = 27). Se desarrolló un programa de entrenamiento supervisado, mediante Threshold®-IMT, 5 días por semana durante 6 semanas. Las variables principales fueron: la presión inspiratoria máxima (PIma(1)) y la presión espiratoria máxima (PEmax) y la ventilación voluntaria máxima (MVV), medidas en las semanas 0, 4, 7 y 10.

Resultados: Los análisis estadísticos no revelaron cambio en la PIma(1) (F3,114 = 1.04, p = 0.368, R² = 0.027), PEMax (F3,114 = 1.86, p = 0.14, R² = 0.047) y MVV (F3,114 = 1.74, p = 0.162, R² = 0.044) entre ambos grupos tras la intervención. No obstante, la carga de trabajo mejoró significativamente con el entrenamiento (F3,100 = 72.03, p < 0.001, R² = 0.791).

Conclusión: El dispositivo de entrenamiento umbral en un programa intermitente de 6 semanas no produce una mejora significativa de los parámetros relacionados con la fuerza y la resistencia de la RM en la población estudiada.

© 2012 SEPAR. Publicado por Elsevier España, S.L. Todos los derechos reservados.

Subjects and Methods

The study is a randomized, controlled assay, approved by the Ethics Committee for Human Research at the University of Valencia (clinical assay no. H1325072291220). All the procedures used for measuring and respiratory training have respected the ethical principles included in the Helsinki Declaration, and informed consent was obtained.

We selected 54 elderly institutionalized women (mean age 85, SD 6.7) from nursing homes located in the city of Valencia (Spain). The inclusion criteria were: (a) inability to walk more than 10 m or use of wheelchair; (b) Mini Cognitive Test by Lobo score ≥20 points (participants without moderate or severe cognitive deterioration); and (c) medical stability, determined by the healthcare personnel at each center. A questionnaire was completed about the medical history of the residents in order to identify any conditions that could exclude them, such as: (a) chronic cardiopulmonary problems; (b) acute cardiopulmonary episode in the 2 previous months; (c) neurological, musculoskeletal or neuromuscular problems that could interfere with performing assessment tests and/or physical training; (d) active smokers or ex-smokers (<5 years); and (e) terminal patients. Throughout the 3 months of 2009, which was the time period of the study, 14 residents were lost to the study for different reasons, resulting in a total of 40 participants (Fig. 1).

Measurements

Both groups, the control group (CG) and the training group (TG), were measured at 4 different moments: baseline (time 0); midway through the training program (week 4); end of training program (week 7); and follow-up (week 10). The main variables were: maximum inspiratory pressure (PImax), maximum expiratory pressure (PEmax) and maximum voluntary ventilation (MVV).

Spirometry

Lung function was assessed with a portable spirometer by Jaeger (VIASYS Healthcare GmbH, Höchberg, Germany). The tests were simple spirometry (volume-time) and forced spirometry (flow-volume curve), which provided data for the following variables: vital capacity (VC), forced vital capacity (FVC), forced expiratory volume in one second (FEV₁), peak inspiratory flow (PIF), and peak expiratory flow (PEF). For both tests, we followed the standards required by the American Thoracic Society and the European Respiratory Society (ATS/ERS).17 In the case of forced spirometry, this was repeated until it obtained a minimum of 3 technically satisfactory maneuvers, with a minute of rest in between, and the highest and most reproducible value was recorded, as long as the difference of the 2 highest values, FEV₁ and FVC, were not >0.150 l (ATS/ERS, 2005: p. 325).17
Respiratory Muscle Strength

$P_{\text{Imax}}$ and $PE_{\text{max}}$ were measured with an aneroid manometer (Series 2000 Magnehelic® Pressure Gauge, Dwyer Instruments, Michigan City, Indiana, United States) with a sensitivity range between 0 and 300 cm H$_2$O of pressure. The measurements were repeated until 3 readings were obtained with a variance $\leq$10%.18,19 and the highest value was used. The reference values used to obtain the percentages were those chosen by Enright et al.,19 taking into account the following circumstances: (a) the values for normalcy included the population $\geq$85, with a sample size higher than that of other studies (n=193), and excluded the institutionalized population and/or those who were unable to walk; and (b) the present study reproduces the methodology developed by these authors, following the indications of Black and Hyatt.20

Respiratory Muscle Resistance

MVV (maximum volume of air that a person breathes voluntarily during an interval of 12 s) was measured a minimum of 2 times with a variation $\leq$20%.17,21 MVV diminished progressively with weak and fatigable RM. In accordance with the ATS/ERS, MVV is an index that is representative of overall RM resistance: "The most important advantage to measuring MVV as an indicator of respiratory muscle endurance is its close resemblance to the task performed during exercise." (ATS/ERS, 2002, p. 563).18 The reference values used to obtain the percentages were those published by Neder et al.21 All spirometry measurements, as well as $P_{\text{Imax}}, PE_{\text{max}}$ and MVV, were done by specialized personnel, and with the participants sitting and wearing nasal clips.17,18

Ad hoc Questionnaire

The research team and several experts (physicians specialized in geriatrics and pulmonology, physiotherapists and psychologists) elaborated a questionnaire (Appendix A) that allowed us to know: (a) the presence of dyspnea and functional limitation for ADL; (b) the experience during the training sessions; and (c) observations about the training protocol. The members of the research team that carried out the survey were not involved in the development of the training protocol. During the initial assessment, both groups responded to questions 1−4. Later, in the post-training assessment (week 7), only the TG answered questions 5−15. It is an ad hoc questionnaire created for the purpose of this study alone, with descriptive perspective and content validity, because the Spanish versions of the validated scales did not adjust to the characteristics of the population studied.

Inspiratory Muscle Training Program

The RM training program was carried out with the Threshold® IT device (Respironics Health Scan Inc., Cedar Grove, NJ, United States). It is a device that is able to work against a threshold load that is adjustable between 7 and 41 cm H$_2$O of negative pressure. Physiotherapists who were not involved in the measurements supervised the 5 weekly sessions for 6 consecutive weeks. The interval of the training program consisted of 7 alternating cycles of 2 min of work and 1 min of rest.22 The initial days of the first week of training were dedicated to the familiarization of the participants with the training process, and low workloads of 7−10 cm H$_2$O were used. Afterwards, the workload was increased, taking into consideration the tolerance to exertion of each participant, while inspiring against a load of between 30% and 50% of the baseline values of $P_{\text{Imax}}$, during the first 3 weeks of training. In order to ensure that each participant was subjected to the appropriate workload, the intermediate measurement of $P_{\text{Imax}}$ allowed us to calculate the load at which each subject should train the 3 remaining weeks. Thus, the load was readjusted between 30% and 50% of intermediate $P_{\text{Imax}}$, and it was adequately increased between weeks 4 and 6. Throughout the 6 weeks of training, all patients were controlled for percentage of oxygen saturation ($\text{SaO}_2$) and heart rate (beats/min), at least once a week during the interval program. Data were collected daily for inspiratory workload (cm H$_2$O), subjective perception of exertion (Borg CR10 scale)23 and compliance with the session, and the reasons for any absences were recorded. The CG did not train, but were merely measured at the same time intervals (weeks 0, 4, 7, and 10).

Statistical Analysis

The statistical data analysis was done with the SPSS version 20 computer program. The descriptive statistics were calculated for all the variables, means, standard deviations and percentages. We used the statistical and graphic techniques recommended in the medical literature to evaluate assumptions (normalcy, homocedasticity, etc.) as well as atypical values. In order to calculate the sample size, we, a priori, from a statistical standpoint, it is necessary to have a size for the estimated reasonable effect. In this case, there were no studies with conditions that were even similar to the population being studied in this randomized clinical assay. Therefore, it was not possible to make a reliable statistical estimation. We then turned to the medical literature to assess the typical sample size at which significant effects begin to be shown with the technique. Based on those studies,6,7,10,11,14 we opted for a larger sample size that therefore had greater statistical power than the studies for the same effect size. Additionally, inferential techniques were used (t and chi-squared tests) to compare both groups at baseline, as well as mixed ANOVA 2 (group)x4 (time) to analyze the effects of the intervention with threshold versus control. Mixed ANOVA is the recommended statistical method for assessing the evolution of groups (trained and control) at several moments.

Fig. 1. Flowchart of the participants.
Table 1
Baseline Characteristics of the Participants From Both Groups Studied: Percentages or Means±1 Standard Deviation.

<table>
<thead>
<tr>
<th>Variable</th>
<th>Control Group (n=21)</th>
<th>Training Group (n=19)</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anthropometric data</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age, years</td>
<td>86.2±5.0</td>
<td>84.6±7.7</td>
<td>.421</td>
</tr>
<tr>
<td>Height, cm</td>
<td>147.3±5.3</td>
<td>153.6±6.4</td>
<td>.002</td>
</tr>
<tr>
<td>Weight, kg</td>
<td>63.5±11.6</td>
<td>66.6±15.3</td>
<td>.478</td>
</tr>
<tr>
<td>BMI, kg/m²</td>
<td>29.3±5.1</td>
<td>28.2±5.8</td>
<td>.507</td>
</tr>
<tr>
<td>Diagnosed diseases</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Respiratory, % yes</td>
<td>28.6</td>
<td>36.8</td>
<td>.577</td>
</tr>
<tr>
<td>Cardiovascular, % yes</td>
<td>76.2</td>
<td>57.9</td>
<td>.217</td>
</tr>
<tr>
<td>Endocrine, % yes</td>
<td>39.0</td>
<td>42.1</td>
<td>.796</td>
</tr>
<tr>
<td>Neurological, % yes</td>
<td>28.6</td>
<td>36.8</td>
<td>.577</td>
</tr>
<tr>
<td>Muscular-skeletal, % yes</td>
<td>76.2</td>
<td>63.2</td>
<td>.369</td>
</tr>
<tr>
<td>Other, %</td>
<td>76.2</td>
<td>84.2</td>
<td>.527</td>
</tr>
<tr>
<td>Comorbidity, n</td>
<td>3.24±1.0</td>
<td>3.21±1.1</td>
<td>.935*</td>
</tr>
<tr>
<td>Pulmonary function</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VC, l/min</td>
<td>1.50±0.33</td>
<td>1.67±0.46</td>
<td>.075</td>
</tr>
<tr>
<td>VC, % pred.</td>
<td>77.7±18.5</td>
<td>83.0±21.3</td>
<td>.450</td>
</tr>
<tr>
<td>FVC, l</td>
<td>1.36±0.34</td>
<td>1.54±0.42</td>
<td>.138</td>
</tr>
<tr>
<td>FVC, % pred.</td>
<td>72.9±20.6</td>
<td>76.1±20.0</td>
<td>.656</td>
</tr>
<tr>
<td>FEV₁, l</td>
<td>1.17±0.33</td>
<td>1.19±0.33</td>
<td>.450</td>
</tr>
<tr>
<td>FEV₁, % pred.</td>
<td>81.8±26.6</td>
<td>80.0±25.7</td>
<td>.848</td>
</tr>
<tr>
<td>FEV₁/FVC, %</td>
<td>80.6±10.8</td>
<td>77.1±12.2</td>
<td>.347</td>
</tr>
<tr>
<td>PEF, l/min</td>
<td>2.58±1.0</td>
<td>2.75±1.12</td>
<td>.618</td>
</tr>
<tr>
<td>PEF, % pred.</td>
<td>1.78±0.54</td>
<td>1.64±0.48</td>
<td>.404</td>
</tr>
<tr>
<td>Respiratory muscle function</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PE(max), cm H₂O</td>
<td>48.6±18.2</td>
<td>54.5±23.0</td>
<td>.372</td>
</tr>
<tr>
<td>PE(max), % pred.</td>
<td>53.7±22.1</td>
<td>58.3±26.8</td>
<td>.549</td>
</tr>
<tr>
<td>Pmax, cm H₂O</td>
<td>−28.1±13.8</td>
<td>−29.5±11.4</td>
<td>.734</td>
</tr>
<tr>
<td>Pmax, % pred.</td>
<td>66.4±30.4</td>
<td>67.9±26.2</td>
<td>.873</td>
</tr>
<tr>
<td>MVV, l/min</td>
<td>28.9±13.2</td>
<td>31.1±10.7</td>
<td>.574</td>
</tr>
<tr>
<td>MVV, % pred.</td>
<td>33.5±9.9</td>
<td>40.1±14.5</td>
<td>.604</td>
</tr>
</tbody>
</table>

FEV₁, forced expiratory volume in one second; FVC, forced vital capacity; BMI, body mass index; MVV, maximum voluntary ventilation; PEF, peak expiratory flow; PE(max), maximum expiratory pressure; PIF, peak inspiratory flow; P(max), maximum inspiratory pressure; % pred., percentages of reference values; VC, vital capacity.

The section “diagnosed diseases (DD)” shows the percentages of DD presence and the mean number of DD (comorbidity).

* In addition, a non-parametric Mann–Whitney test was used to compare comorbidity between groups.

† Percentage according to the reference values by Enright et al.20

‡ Percentage according to the reference values of Neder et al.21; for those with reference values, the Student’s t and chi-squared tests were used to obtain the differences between the two groups.

Results

Descriptive Variables

Baseline Characteristics

Table 1 shows the baseline characteristics and the homogeneity tests between groups. As for the anthropometric data, the results only show that the groups differ in height.

No statistically significant differences were observed in the variables regarding inclusion criteria: the Lobo Mini Cognitive Test (23.0±3.5) and Barthel index (68.1±22.7). On average, the participants had been institutionalized 41.1±5.2 years and only 12.5% were ex-smokers (CC, n=1; TG, n=4; P=0.120).

As for questions 1–4 (Appendix A, block A) of the ad hoc questionnaire, there were no significant differences between the 2 groups (P>0.05). In 37.5% of the cases, breathing affected in some measure (“a little” or “a lot”) the normal development of activities of daily living (ADL). Among these, walking and/or transference were mostly associated with the presence of dyspnea (47.5%), followed by self-care activities (27.5%). The main causes that impede walking were: amputation of a lower limb or limbs, the effects of a cerebrovascular accident, osteoarthritis and a history of fractures caused by falls.

Assessment of the Training Protocol

The descriptive analysis corresponding with questions 5–9 (Appendix A, block B) of the ad hoc questionnaire for the TG shows that close to 42.7% of the participants reported difficulty in breathing during the session, especially for the first few days (47.4%) and when the workload was increased (15.8%). They also considered the 1-min rest intervals (84.2%) necessary. After the conclusion of the training protocol (questions 10–15, Appendix A, block C), 63% of the participants perceived an improvement over their previous state, and 57.9% considered it necessary to make some type of change in the protocol (for example, 47.4% period of one year; 21.1% weekly sessions; 22% total number of weeks). As for the number of colds, there were no significant differences (CC 33.3% and TG 52.6%; P=.218). 84.2% of the people with training answered that they would participate again, while 5.3% did not know what to answer. In addition, they scored an average perceived satisfaction of 8.4±2.1 on a scale from 1 (“not at all satisfied”) to 10 (“very satisfied”). Finally, the subjective perception of exertion (Borg CR10 scale)23 for the TG showed no significant differences between the weekly averages (Table 2), and a score of 3 was “moderate” exertion.

Effects of the Training Protocol

Inspiratory Muscle Strength

The estimated ANOVA evaluating the effect of the intervention on the absolute P(max) values did not find statistically significant differences among the groups (F1,38=0.22, P=.637; R²=0.006). Time did show differences and in both groups there was an increase over time (F3,114=12.51, P<.001, R²=0.248). However, the interaction effect, which shows if the treatment is effective, was not statistically significant (F3,114=1.04, P=.368; R²=0.027) (Fig. 2). Although

![Fig. 2. Mean maximum inspiratory pressure (P(max), cm H₂O) for the control and training groups over the course of the 4 time periods measured: baseline, intermediate, post-training, and follow-up.](image-url)
the interaction was not statistically significant, simple effects analyses of the interaction were used to better understand within each group what moments in time differed. The CG showed differences between the baseline and the final measurement (time period 3 or post-training, \( P < .05 \)). Contrarily, the TG presented differences at all time periods with baseline (\( P < .05 \)). There were no differences between time periods 3 and 4 (follow-up) (\( P > .05 \)). This result indicates that the change over time in the TG was more stable, as CG is lower in the follow-up, which does not happen in the TG.

### Expiratory Muscle Strength

The estimated ANOVA assessing the effect of the intervention on the absolute \( P_E_{\text{max}} \) values also found no significant differences between the groups (\( F_{1,38} = 2.57, P = .117; R^2 = 0.063 \)). Time did show significant differences and in both groups there was an increase over time (\( F_{3,114} = 15.55, P = .001; R^2 = 0.29 \)). However, the interaction effect was not statistically significant in the case of \( P_E_{\text{max}} \) (\( F_{3,114} = 1.86, P = .14; R^2 = 0.047 \) (Fig. 3). Although the interaction was not statistically significant, simple effects were done as in the former variable. In the case of CG, there was only a gain between the baseline and the post-training and, however, the follow-up did not differ significantly from baseline (\( P > .05 \)). In the case of TG, baseline differed from all the other time periods (\( P > .05 \)), which indicated a stable change, even in follow-up.

### Respiratory Muscle Resistance

A third ANOVA evaluated the effect of the intervention on the absolute MVV values and, once again, did not show significant differences between the groups (\( F_{1,38} = 0.47, P = .49; R^2 = 0.012 \)). There was a significant increase in MVV over time (\( F_{3,114} = 3.39, P = .02; R^2 = 0.082 \)), despite the fact that the interaction was not significant (\( F_{3,114} = 1.74, P = .162; R^2 = 0.044 \) (Fig. 4). Once again, and although the interaction was not statistically significant, simple effects were done. In the case of CG, there were no differences between any of the time periods (\( P > .05 \)), which indicate stability in the resistance of RM. In the case of TG, the baseline differed only from the follow-up (\( P < .05 \)), which indicates that, if there were potential effects of training with Threshold® IT on muscle resistance, these tended to appear at later time periods.

### Threshold® IT: Workload and Subjective Perception of Exertion

The workload that the TG group was subjected to significantly improved both absolute values (\( F_{5,100} = 72.031, P < .001; R^2 = 0.791 \)) as well as percentage of baseline \( PE \) (\( F_{5,100} = 67.225, P < .001; R^2 = 0.810 \)). In absolute values, the load increased from 9.7 to 3.1 cm H\(_2\)O over the course of the 6-week training period. This increase was considerably less during the first half of the RM training (difference of means between the first and third weeks, 3.39 cm H\(_2\)O) than during the second half (difference of means between the fourth and sixth weeks, 5.84 cm H\(_2\)O), a result that may be due to the period of familiarization of the first week. Likewise, the relative workload rose from 36% to 78% \( PE_{\text{max}} \) measured at baseline. The difference of percentages for the first half of the training period was 11%, while for the second half it was 23.3%, an aspect possibly related with familiarization, as previously commented. Last of all, the subjective perception of exertion (Borg scale) did not change (\( P > .05 \)) during the training period (Table 2).

### Discussion

In this present study, training with the Threshold® IT device did not reveal a significant improvement in RM strength or resistance between the two groups. These results were unexpected because the majority of previous studies in other populations demonstrate the positive experimental effect of the use of the IT device, especially in patients with RM weakness. In the elderly population, previous controlled clinical assays show that RM strength and resistance, as well as functional autonomy, improve with specific RM training. Nonetheless, our study differs from the previously mentioned studies in several aspects, making a strict comparison difficult: (a) the mean age of the sample was younger (67–70 vs 85)\(^{8,13,15}\); (b) the specific RM training modality did not include the Threshold® IT device (isocapnic hyperventilation, \(^{13}\) Powerlunge® inspiratory and expiratory threshold load device\(^{8}\)), except for the study by Fonseca et al.\(^{1,15}\); and (c) the capacity for exercise was normal and/or community lifestyle\(^{8,14}\) except for the institutionalized population in the Fonseca et al. study. In our study, the elderly subjects presented inability to walk and accentuated RM weakness (age range 80–97; \( PE_{\text{max}} \) baseline –28.8 cm H\(_2\)O and \( PE_{\text{max}} \) baseline 51.4 cm H\(_2\)O), particularities that could explain the difference in our results compared with those of Watsford and Murphy\(^{8}\) (age range 60–69; \( PE_{\text{max}} \) baseline between –70 and 80 cm H\(_2\)O and \( PE_{\text{max}} \) between 80 and 90 cm H\(_2\)O).

Although there was no statistical significance between the groups for the main variables, there was an observed increase of 30% in the \( PE_{\text{max}} \) for the TG between the start and end of the intervention. Although there was also an observed gain in \( PE_{\text{max}} \) (18%) in the CG, this was substantially lower when we analyze the progression of the \( PE_{\text{max}} \) values between the start and the end of the intervention, which indicates that the improvement observed in the CG was not due to physiological factors, since this group had not been training. In line with these results, O’Kroy and Coast\(^{24}\) found a significant increase in \( PE_{\text{max}} \) in the CG. Hill et al.\(^{22}\) also found an increase of 8% in \( PE_{\text{max}} \) in the CG, although this increase was lower than that found in the TG (29%), in spite of which the difference was statistically significant. Analyzing these studies in greater detail, the significant improvement in the CG could be explained as a result of the familiarization effect during the first week.
detail, we observed that the intervention by O’Kroy and Coast\textsuperscript{24} consisted of a continuous training protocol in healthy persons and in the case of Hill et al.\textsuperscript{12} the training protocol was interval-based, but in patients with chronic obstructive pulmonary disease. Once again, the anthropometric characteristics and health of our sample can offer a plausible explanation to the lack of effectiveness of the IT device: institutionalization, presence of comorbidity, inability to walk and RM weakness (according to Simões et al.\textsuperscript{25} maximum respiratory pressures are considerably low in institutionalized seniors, $P_{\text{max}}$ from $-44.5$ and 33.06 cm H$_2$O and $P_{E\text{max}}$ between 41.94 and 34.78 cm H$_2$O). Another possible explanation for the increase in $P_{\text{max}}$ and $P_{E\text{max}}$ in the CG could be the learning effect of the maneuvers for measuring maximum respiratory pressures described by Larson et al.\textsuperscript{11}

As for the non-significant improvement observed in $P_{E\text{max}}$, we can mention that, although the threshold device (Threshold\textsuperscript{®} IT) was designed for training inspiratory muscles, it is possible that the expiratory muscles are also involved, as argued by Breslin.\textsuperscript{26} In the recent study by Barbalho-Moulim et al.\textsuperscript{27} there was also an observed non-significant increase in $P_{E\text{max}}$ (26%) after a training protocol with Threshold\textsuperscript{®} IT (15 min per session, 6 sessions per week, for 2–4 weeks). In this study, the population studied was different from the present study, especially concerning RM weakness and the mean age of the population. Therefore, we did not have available any similar studies with which to compare our results.

Furthermore, the workload that the TG was subjected to increased over time and can partially explain the values and the improvement observed for $P_{\text{max}}, P_{E\text{max}}$ and MVV between the post-training and follow-up measurements (Figs. 2–4). The increase in workload seen in the TG from post-training to follow-up can be explained as a maintained effect of the training, which did not appear in the CG. In addition, the perception of the exertion did not change during the 6-week period. In this regard, it is interesting to mention that, although there was a not a significant increase in $P_{\text{max}}$ compared with CG, the effect of the intervention was perceived by the trained participants as they were able to train at significantly greater loads with a similar level of exertion.

Study Limitations and Strengths

Based on the low values of the maximum respiratory pressures, 6 weeks of training may be too short of a time to observe a substantial effect of training in elderly women with important functional limitations. The Respiratory Rehabilitation Guidelines\textsuperscript{28} recommend longer training periods (between 6 and 12 weeks) and indicate that 12 or more weeks of intervention tend to produce a greater maintained benefit. Although the training protocol that has been used in this study is similar to that proposed by Hill et al.,\textsuperscript{9} several circumstances motivated the change in the duration and frequency of sessions of the protocol developed: (a) the presence of comorbidity and high rate of mortality; and (b) the passivity of institutionalized elderly subjects.

The limitations mentioned indicate the need for future research that demonstrates the effectiveness of the Threshold\textsuperscript{®} IT in the weakest elderly populations. Future studies should include longer training periods, other possible modalities for specific RM training, as well as the placebo group, in order to be able to measure the effects of training and avoid the possible influence of learning. Therefore we believe it is convenient to include other measures that are potentially relevant, like the effect on capacity for exertion and improved dyspnea and quality of life, through questionnaires that have been validated for this population.

To our knowledge, this is the first study in an elderly institutionalized population, with functional deterioration and muscle weakness, that has applied a specific RM training protocol. The novelty and importance of this clinical assay lies in certain aspects, such as: (a) mean age (85±6), which is 10–15 years older than the mean age of previous studies; (b) the use of Threshold\textsuperscript{®} IT; (c) the measurement of $P_{\text{max}}, P_{E\text{max}}$ and MVV at 4 time intervals, unlike previous research measuring only at pre- and post-intervention\textsuperscript{11,13}; and (d) the sample size larger than that used in previous studies (n=5–17), both in adults and the elderly, in healthy persons as well as ill patients. Last of all, this study indicates the importance of respiratory intervention and points out the difficulties for studying such a population.

Clinical Relevance

Studies of this type offer health–care professionals the opportunity to widen their understanding through an intervention in a growing collective that to date has not been extensively studied: the elderly. Furthermore, the results found in this collective in particular could be generalized to other collectives with different ages but similar health conditions. Therefore, we are promoting a greater understanding of non-invasive treatments that could be applied in populations with comorbidity and an important degree of dependence.

In conclusion, we can state that the use of the Threshold\textsuperscript{®} IT device in an interval-based 6-week training program does not produce a significant improvement in the parameters related with RM strength and resistance in elderly women with muscle weakness and important functional limitation.

Funding

This study has been partially funded by research grant PSI2010-21334.

Conflict of Interests

The authors declare having no conflict of interests.

Acknowledgements

The authors would like to thank all the participating seniors for their collaboration. We would also like to show our most sincere thanks to all the physiotherapists who have supervised the training protocol, especially José Moret Vilar. Last of all, we would like to thank the socio-sanitary personnel from the participating nursing homes for their availability and advice: Ballesol-Valencia and El Amparo de Quart de Poblet (Valencia) nursing homes.
Appendix A. Ad hoc Questionnaire

Block A. Initial assessment (week 0). Presence of dyspnea and functional limitation for activities of daily living (ADL):

1. How much does your breathing affect your ADL?
   - Not at all 0
   - A little 1
   - A lot 2

2. Do you feel breathless during any of the following activities?
   - 2.1. Walking Yes 1 No 0 Unable to do
   - 2.2. Washing or dressing Yes 1 No 0 Unable to do
   - 2.3. Talking Yes 1 No 0 Unable to do
   - 2.4. Specify other activities (leisure and/or physical activity): Yes 1 No 0

3. Do you feel breathless at any time while sleeping? Yes 1 No 0 Not sure

4. When you have a cold, do you have difficulty expectorating? Yes 1 No 0 Not sure

Block B. Final assessment (week 7). Assessment of the training sessions:

5. During the training sessions, have you felt any discomfort? Yes 1 No 0 Not sure
   If the answer to question 5 is affirmative, answer questions 6, 7 and 8.

6. What type of discomfort have you had during the respiratory exercises?
   - 6.1. A sensation of breathlessness or lack of air (dyspnea) Yes 1 No 0
   - 6.2. Maintained pressure on the nose (nasal clip or fingers) Yes 1 No 0
   - 6.3. Difficulty to inhale (mouth or nose) Yes 1 No 0
   - 6.4. Respiratory muscle fatigue Yes 1 No 0
   - 6.5. Specify others ………………………………………………… Yes 1 No 0

7. What days have you found to be more difficult over the course of the training period? 1 / 2 / 3
   - 1. The first days
   - 2. The day of learning a new respiratory exercise or when intensity was increased
   - 3. Other situations……………………………………………………………………

8. At what part of the session have you experienced more difficulty to perform the exercises?
   - 1. At the beginning of the session
   - 2. In the middle of the session
3. At the end of the session

4. Equally during the entire session

9. Do you consider the rest between cycles/exercises necessary? Yes 1  No 0  NS/NC

Block C. Final assessment (week 7). Training observations:

10. How do you feel now compared to before starting the training program?  Worse 0  Same 1  Better 2

11. If you have messed a training session, please indicate the reason: 1 / 2
   1. Illness or doctor’s appointment
   2. Other (visit, leisure, etc.)

12. Would you change any of the conditions of the exercise program?

<table>
<thead>
<tr>
<th></th>
<th>12.1. No change</th>
<th>Yes 1</th>
<th>No 0</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>12.2. Time of year</td>
<td>Yes 1</td>
<td>No 0</td>
</tr>
<tr>
<td></td>
<td>12.3. Number of weekly sessions</td>
<td>Yes 1</td>
<td>No 0</td>
</tr>
<tr>
<td></td>
<td>12.4. Number of total weeks</td>
<td>Yes 1</td>
<td>No 0</td>
</tr>
<tr>
<td></td>
<td>12.5. Other (schedule, etc.)</td>
<td>Yes 0</td>
<td>No 1</td>
</tr>
</tbody>
</table>

13. Have you had a cold during the study (question for both groups)? Yes 1  No 0

14. If you had to decide whether to participate again in this respiratory exercise protocol, would you participate?

<table>
<thead>
<tr>
<th></th>
<th>Yes 1</th>
<th>No 0</th>
<th>Not sure</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

15. How satisfied are you for having participated in the respiratory exercise protocol?

<table>
<thead>
<tr>
<th></th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Not satisfied</td>
<td>More less</td>
<td>Very satisfied</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

References


